Artificial-Neural Network & Polarized-Target Technology for Probing Nucleon Structure

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APCTP Seminar, 10/17/2022





Notes

I was graduated from FSU with dissertation about **Hadron Spectroscopy**: Hunting for missing N^* resonances via photoproduction of ω , η , and $K\Sigma$ at JLAB

Currently, I am working at the Polarized-target group at UVA with research on Hadron Structure:

- Setup polarized target for the SpinQuest experiment at Fermilab which aim to measure Sivers function (TMD)
- Involved in experiment at JLAB and analyze DVCS data from JLAB (GPD)

The goals of this talk are to provide:

- General overview of the nucleon-structure studies
- One example of the Artificial-Neural Network (ANN) implementation to extract physics observable from the
 experimental data
- **Description** of the polarized-target technology used for polarizing nucleons

This talk has ~90 slides (too many for ~45 minutes talk). So, I will only mention key points in each slides

I keep the rest and details for the sake of completeness & references for future discussions (also hopefully future collaboration)

Outline

- Probing Nucleon Structure Experimental perspective
- GPD & TMD Toward a unified picture of Nucleon Structure
- Artificial-Neural Network A technique to exploit experimental data
- Polarized-Target technology A necessary recipe to explore the internal structure of the nucleon

Probing Nucleon Structure

-Experimental Perspective-

Our Ultimate Questions

Can we understand the

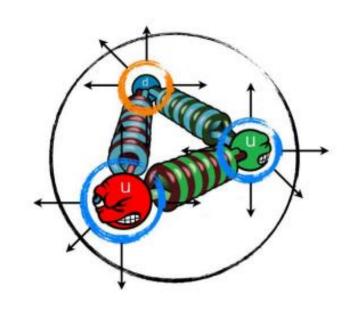
mass, spin, size of hadrons

in terms of quarks and gluons?

Can we understand the

structure of hadrons

in terms of quarks and gluons?



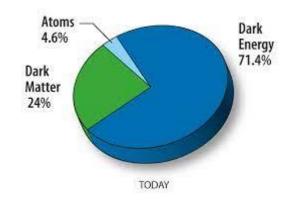
Hadrons = Particle that consist of quarks & gluons

- Baryons: proton, neutron, ...
- Mesons: pion, kaon,

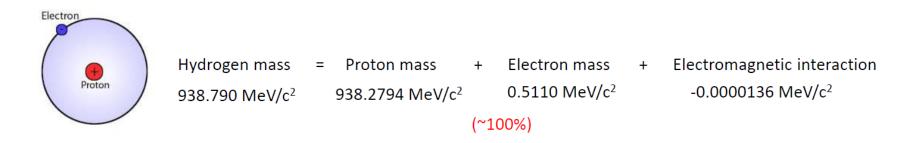
Hadrons interact via strong interaction

Why we need to understand the nucleon properties in term of quarks and gluons

Visible matter only constitute ~5% of the universe

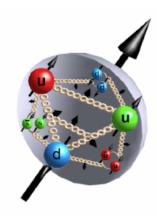


~100% of the visible-matter mass is concentrated in nuclei/nucleons



Why we need to understand the nucleon properties in term of quarks and gluons

~99% on nucleon mass comes from the strong interaction among quarks and gluons

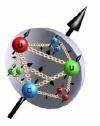


Proton mass = 3 quark masses + Strong interaction

$$938.2794 \text{ MeV/c}^2$$
 9.8 MeV/c² (1 %) 928.5 MeV/c² (99 %)

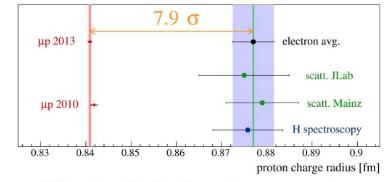
Other nucleon properties also not fully understood

• Proton spin crisis



$$Spin_{proton} \neq \sum Spin_{quarks}$$

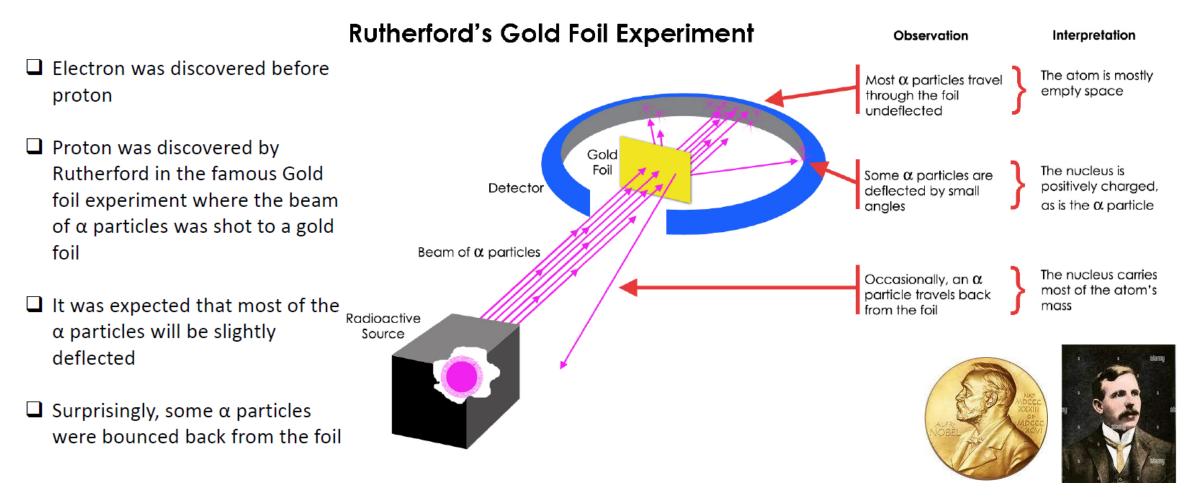
• Proton radius puzzle





How do we probe the nucleon structure? Scattering experiment!

Rutherford: The father of scattering experiment



This experiment prove that an atom is mostly empty and something very solid and positively charge is inside (**proton**)

But is Proton a point-like particle?

Stern's measurement on the proton magnetic moment indicated that proton is not a point-like particle

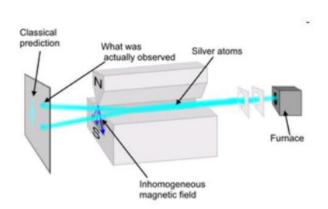
- ☐ The magnetic moment is the magnetic strength and orientation of a magnet or other object that produces a magnetic field
- \Box The magnetic moment can be defined as a vector relating the aligning torque on the object from an externally applied magnetic field $\tau = \mathbf{m} \times \mathbf{B}$
- lacksquare If proton is a point-like particle the magnetic moment will be $\mu_N =$

$$\mu_N = \frac{e\hbar}{2m_{\rm p}}.$$

- \square But the observed values are $2.792\mu_N$ for proton and $-1.913\mu_N$ for neutron
- ☐ Therefore, both proton and neutron are not point-like particles
- ☐ Proton and neutron have a finite size
- ☐ How to probe the finite size of the proton?



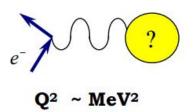




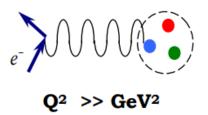
The original setup of Stern-Gerlach experiment that discovered the nature of spin. Measurement of the proton-magnetic moment utilize similar setup but use Hydrogen beam

Back to scattering experiment









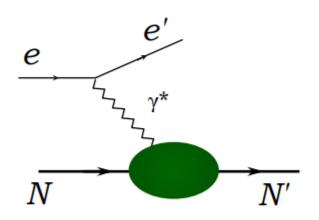
Equivalent wavelength of the probe:

$$\lambda \approx \frac{1}{\sqrt{Q^2}}$$

What we see depend on the resolution scale which depend on 4-momentum transfer (Q^2)

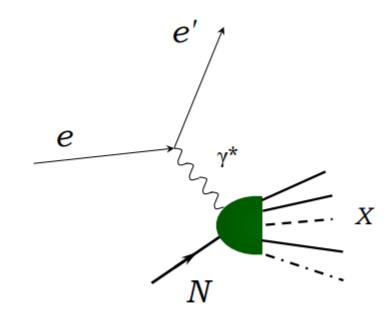
What you see depends on what you use to look...

Elastic scattering: initial and final state is the same, only momenta change.



Deep inelastic scattering (DIS):

state of the nucleon changed, new particles created.



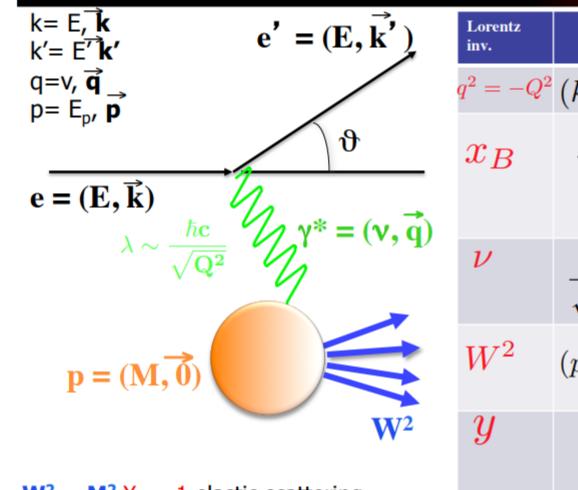
Measurements:

- ★ Inclusive only the electron is detected
- ★ Semi-inclusive electron and typically one hadron detected
- ★ Exclusive all final state particles detected



Complementary information on the nucleon's structure

Electron Scattering: Kinematics



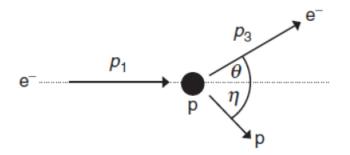
Lorentz inv.		Lab frame	Meaning
$q^2 = -Q^2$	$(k-k')^2$	$2-4EE'sin^2(\frac{\theta}{2})$	Virtuality
x_B	$\frac{-q^2}{2p \cdot q}$	$rac{Q^2}{2M u}$	Bjorken scaling variable; Inelasticity of the process
ν	$\frac{p \cdot q}{\sqrt(p^2)}$	E-E'	Energy lost by the incoming lepton
W^2	$(p+q)^2$	$M^2 + 2M\nu - Q^2$	Inv. mass squared of the final state
y	$\frac{p \cdot q}{p \cdot k}$	$\frac{\nu}{E}$	Fraction of the electron energy carried by the γ^*
s	$(p+k)^2$	$\approx M^2 + 2M\nu$	Center of mass energy

Form Factor: Probing the size & spatial distribution of Proton

- ☐ The experimental technique to probe the finite size of protons is elastic scattering using electron beam
- ☐ In elastic scattering the final state is also electron & proton (the proton does not break apart into many particles)
- ☐ The important measured quantity is cross section which determine the probability of a scattering event at a particular kinematics (angle) to occur
- ☐ More scattering event is observed if the cross section is higher
- ☐ The cross section of elastic scattering is determined by Mott formula. If proton is a point like scattering the cross section:

$$\left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_{\mathrm{Mott}} = \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \cos^2\frac{\theta}{2}.$$

 \square E is the electron energy and θ is the scattering angle. α^2 is a constant



☐ If proton has a finite size, the Mott cross section formula is modified into

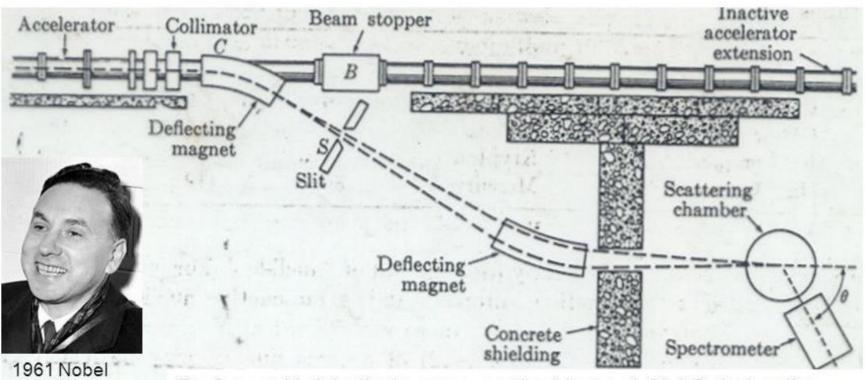
$$\left(\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega}\right)_{\mathrm{Mott}} \to \frac{\alpha^2}{4E^2\sin^4(\theta/2)}\cos^2\left(\frac{\theta}{2}\right)\left|F(\mathbf{q}^2)\right|^2.$$

 \square $F(q^2)$ is the **Form factor** which is the Fourier transform of the charge distribution. q^2 is the energy-momentum transferred to proton from electron beam

$$F(\mathbf{q}^2) = \int \rho(\mathbf{r})e^{i\mathbf{q}\cdot\mathbf{r}} \,\mathrm{d}^3\mathbf{r}.$$

 $oldsymbol{\square}$ $ho(\mathbf{r})$ is the charge (density) distribution

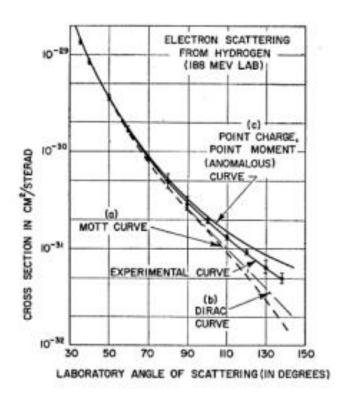
Electron scattering at Stanford 1954 - 57

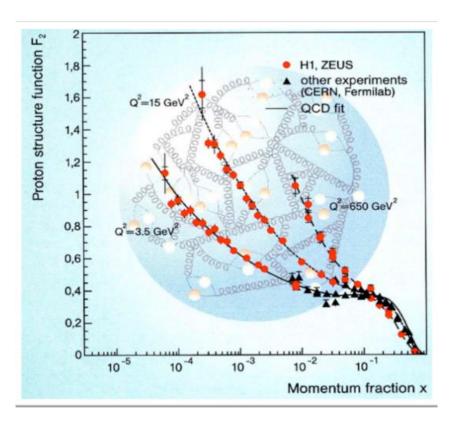


1961 Nobel Prize winner



Professor Hofstadter's group worked here at SLAC during the 1960s and were the first to find out about the charge distribution of protons in the nucleus – using high energy electron scattering.





3 free quarks

3 bound quarks

F₂

1/3 1 x

3 bound quarks plus "stuff"

1/3 1 x

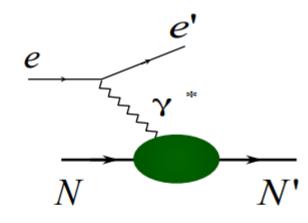
Deviation from Mott curve showed that Nucleon has finite size

Form factor curve depends on the nucleon content

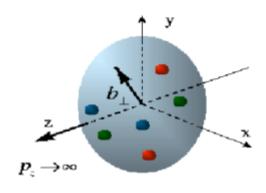
The 2D spatial image

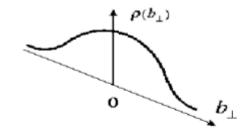
Lepton (eg: electron, neutrino) scattering off a nucleon reveals different aspects of nucleon structure.

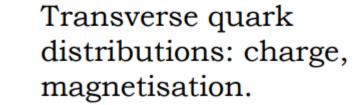
Elastic Scattering



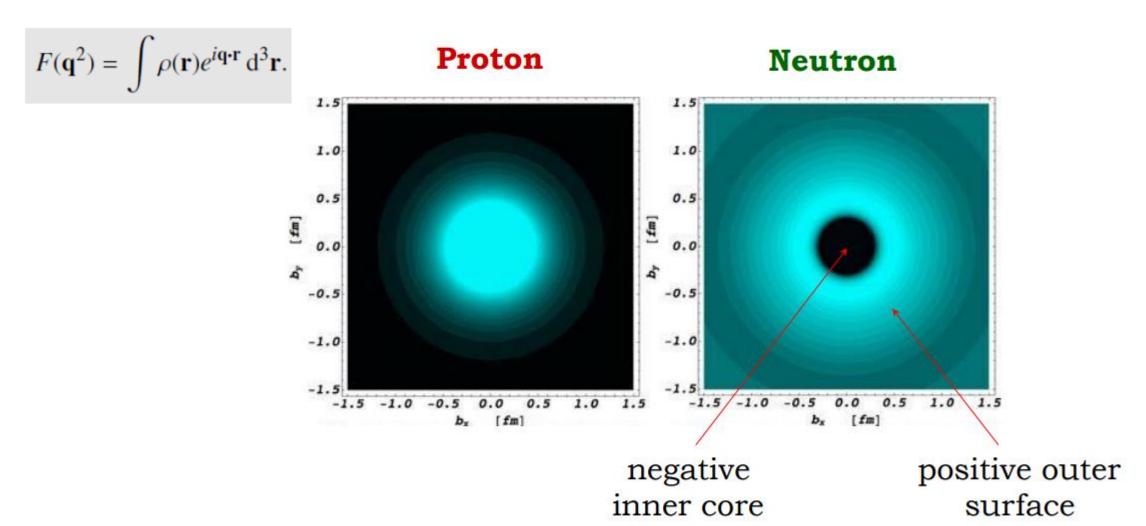
Cross-section parameterised in terms of Form Factors (Pauli, Dirac, axial, pseudoscalar)







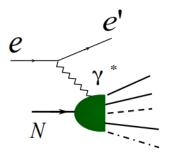
Charge density inside a nucleon



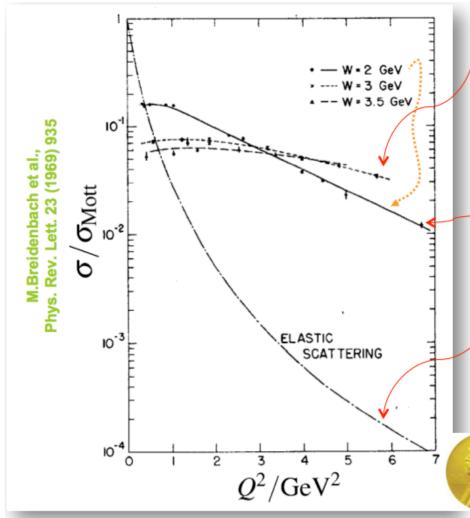
C. Carlson, M. Vanderhaeghen PRL 100, 032004 (2008)

As the electron energy increase, the experiment enter Deep Inelastic Scattering regime

Deep Inelastic Scattering







Deep Inelastic scattering cross sections almost independent of Q²! i.e. "Form factor" →1

Scattering off point-like objects within the proton ???

- **Inelastic scattering** cross sections only weakly dependent on Q²
- **Elastic scattering** falls of rapidly with Q² due to the proton not being point-like (i.e. form factors)

$$rac{\sigma}{\sigma_{\mathbf{Mott}}} = \left(rac{1}{(1+\mathbf{Q^2}/0.71)^2}
ight)^2 \propto \mathbf{Q}^{-8}$$

J. Friedman, H.Kendall, R.Taylor Nobel Prize 1990

- The dynamics of such production processes may be, similar to the case of elastic scattering, described in terms of form factors.
- In the inelastic case the complex structure of the proton is described by two structure functions: W₁ and W₂.
- In elastic scattering, at a given beam energy E , only one of the kinematical parameters may vary freely. (Ex: ϑ fixed \rightarrow Q², ν fixed since $2M\nu Q^2 = 0$)
- In inelastic scattering the excitation energy of the proton adds a further degree of freedom

 → structure functions and cross-sections are functions of two independent, free
 parameters, e. g., (E, ϑ) or (Q², v)

$$\frac{d\sigma^2}{d\Omega dE'} = \left(\frac{d\sigma}{d\Omega}\right)_M \times \left(\frac{W_2(Q^2,\nu) + 2W_1(Q^2,\nu)tan^2\frac{\theta}{2}}{2}\right)$$
 Electric interaction Magnetic interaction

The experimental observation of the cross section almost independent of Q² suggested that
the process could be described as the incoherent elastic scattering off point-like
particles → the cross section is scale invariant (doesn't depend on Q²) and depends only
of the ratio x=Q²/2Mv.

 The structure functions W₁ (Q²,v) and W₂ (Q², v) are usually replaced by two dimensionless structure functions:

0,5

 $x = \frac{Q^2}{2Mv}$

$$F_1(x, Q^2) = MW_1(Q^2, \nu)$$
 $F_2(x, Q^2) = \nu W_2(Q^2, \nu)$

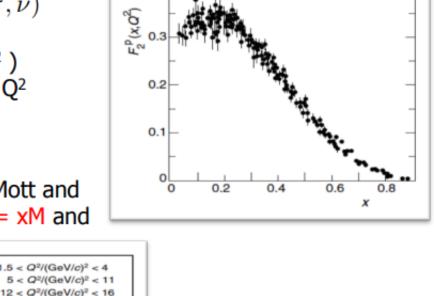
At fixed values of x the structure functions F₁ (x,Q²) and F₂ (x,Q²) depend only weakly, or not at all, on Q²

$$F_{1,2}(x,Q^2) \approx F_{1,2}(x)$$

 Comparing the DIS cross section formula with the Mott and Dirac elastic cross sections for particles of mass m = xM and

spin 1/2

$$F_2(x) = 2xF_1(x)$$
 Callan-Gross relation



 $2 (GeV/c)^2 < Q^2 < 18 (GeV/c)^2$

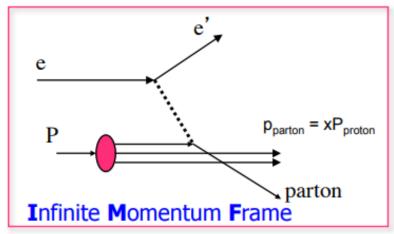
Same as if target was a free spin ½ particle: the photon is scattering on quasi-free quark!

This model is discussed in a fast moving system (IMF)

The proton has a very large momentum P

- The photon is interacting with free charged point-like particles (partons) inside the proton (the relativistic time dilation slows down the rate with which the guarks interact with each other).
- The partons will have collinear momentum with the proton and each parton of charge e_i has a probability $f_i(x)$ to carry a fraction x of the parent proton momentum. $\sum \int x f_i(x) dx = 1$
- The proton (partons) move along the z-axis; the parton (proton) has:
 - energy xE (E)
 - longitudinal momentum xp_i (p_i)
 - transversal momentum $p_T = 0$ ($p_T = 0$)
 - mass xM(M).

It is easy to demonstrate that: $F_2(x) = \sum e_1^2 x f_i(x)$



$$(xP+q)^2 = p'_{quark}^2 = m_{quark}^2 \approx 0$$

Since $xP^2 \le M^2 << Q^2$ it follows

$$2xP \cdot q + q^2 \approx 0$$
 $\Rightarrow x = \frac{Q^2}{2Pq} = \frac{Q^2}{2M\nu}$

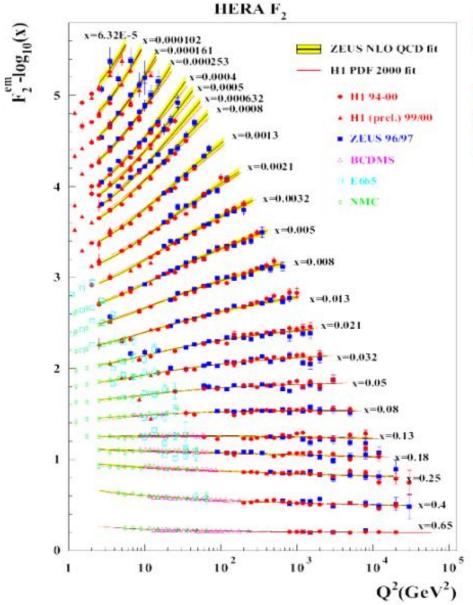
Definition Bjorken scaling variable

It is easy to demonstrate that:
$$F_2(x) = \sum_i e_1^2 x f_i(x)$$
 Definition Bjorken scaling variable
$$F_2^{ep} = \frac{x}{9} [4 \cdot u_v(x) + d_v x] + \frac{4}{3} x \cdot Sx$$
 Experimentally:
$$\int_{Experimentally:} F_2^{ep} dx = \frac{4}{9} f_u + \frac{1}{9} f_d \approx 0.18$$

$$\int_{Experimentally:} F_2^{en} dx = \frac{4}{9} f_d + \frac{1}{9} f_u \approx 0.12$$
 Neglecting the contribution of the s quark
$$f_u = \int_0^1 x(u + \bar{u}) dx$$

Only 50% of the proton momentum is carried by the quarks & antiquarks

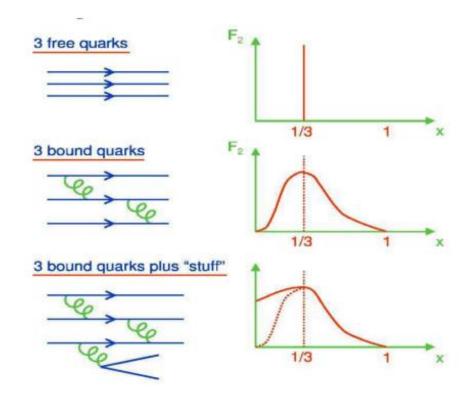
More kinematics exploration!!

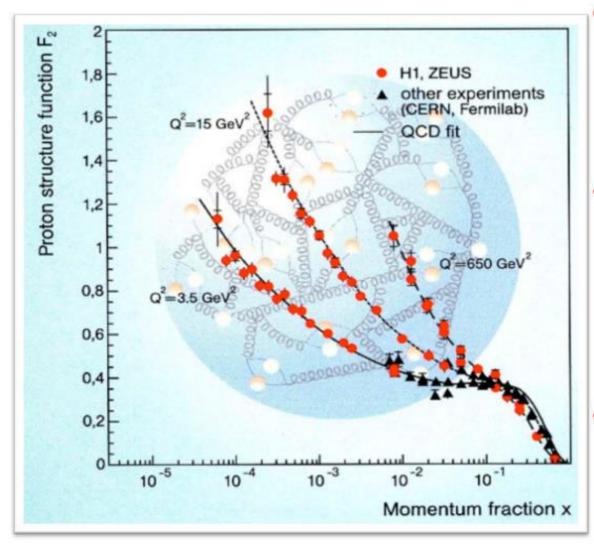


Deviations of F_2 from Bjorken scaling at high values of Q^2 and low values of x: $F_2 = F(Q^2, x)$

F₂ increases with Q² at low x

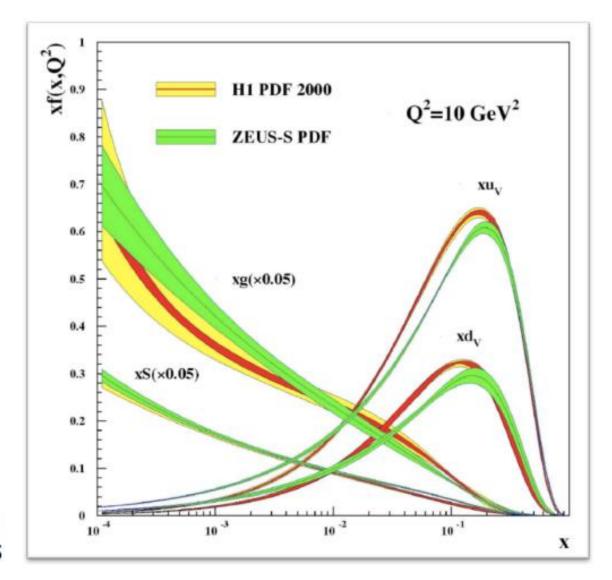
This violation is **not** due to a finite size of partons, but to the QCD processes that describe the interaction between the constituents of the nucleons.



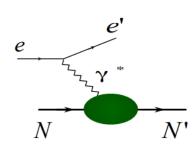


- Scaling violation is due to the fact that the quarks radiate gluons that can "materialize" as q-qbar pairs (sea quarks)
- With increasing Q² increases the resolution of the probe (~ħ/√Q²) and thus increases the number of partons that are "seen" bring a fraction x of the proton momentum
- The parton distribution functions (PDFs) can not be calculated from first principle of QCD but their Q² dependence is calculable in perturbative QCD using the DGLAP evolution equations

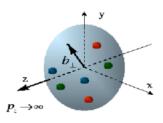
- All available deep inelastic and related hard scattering data involving incoming protons (and antiprotons) are used to determine the parton densities, f_i of the proton.
- The procedure is to parametrize the x dependence of f_i(x,Q²₀) at some low, yet perturbative, scale Q²₀. Then to use the DGLAP equations to evolve the f_i up in Q², and to fit to all the available data (DIS structure functions, Drell-Yan production, Tevatron jet and W production...) to determine the values of the input parameters

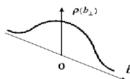


Elastic Scattering



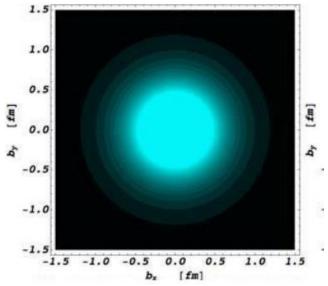
Cross-section parameterised in terms of Form Factors (Pauli, Dirac, axial, pseudoscalar)



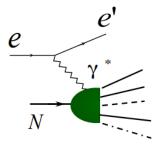


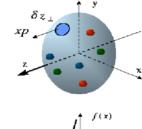
Transverse quark distributions: charge, magnetisation.

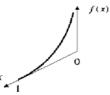
Proton 1.5 1.0



Deep Inelastic Scattering





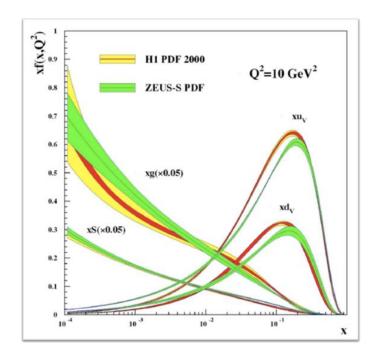


First experimental evidence of partons inside a nucleon

Cross-section parameterised in terms of polarised and unpolarised Structure Functions

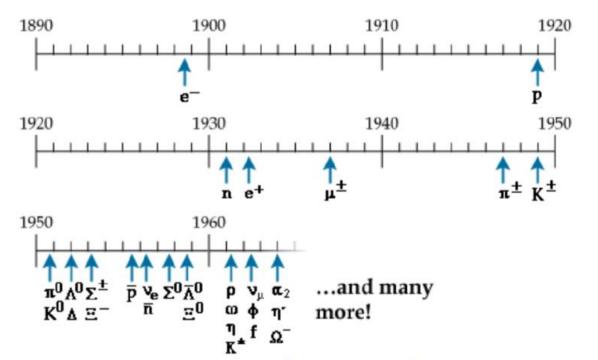


Longitudinal momentum and helicity distributions of partons



Deep Inelastic Scattering and November Revolution (Discovery of Many Hadrons) established Partons theory (Quarks & Gluons). But do they really exist or are they only mathematical model?

- ☐ Since early 1950, a lot more particles have been discovered in accelerator particle
- ☐ Physicist were struggle to identify the elementary particles or more fundamental building block of all observed particles



Too many to be elementary!

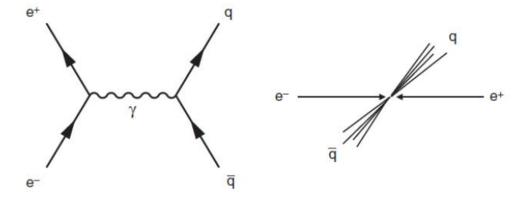
.... The finder of a new elementary particle used to be rewarded by a Nobel prize, but such a discovery now ought to be punished by \$10,000 fine (Williamb Lamb)





Evidence of Quarks & Gluons

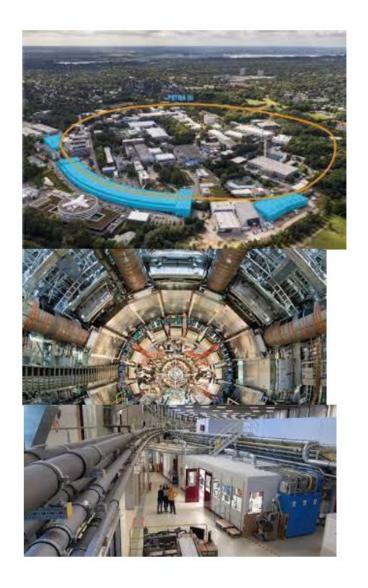
☐ Another evidence of the quarks & gluons evidence is provided by the electron-positron annihilation experiment at DESY



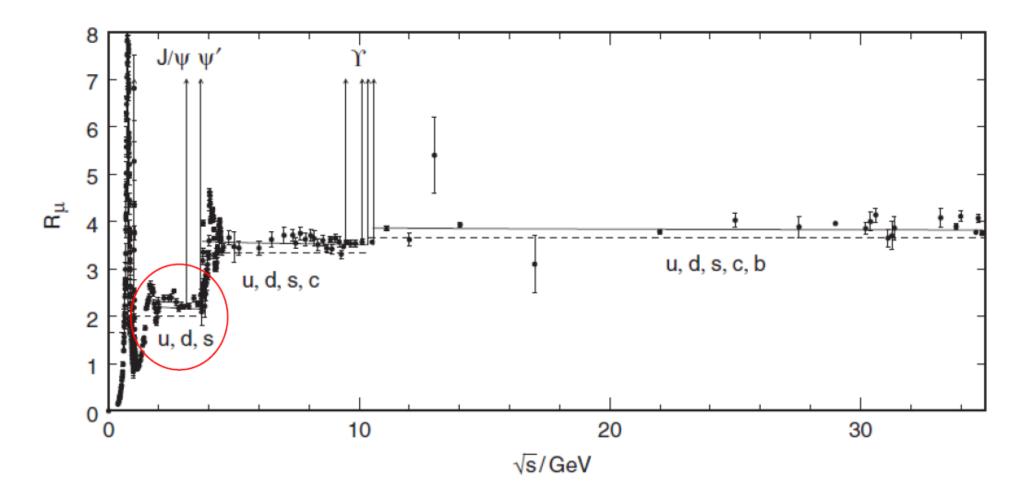
☐ This experiment measures the cross-section ratio of hadrons and muons production

$$R_{\mu} \equiv \frac{\sigma(\mathrm{e^+e^-} \to \mathrm{hadrons})}{\sigma(\mathrm{e^+e^-} \to \mu^+\mu^-)} = 3 \sum_{\mathrm{flavours}} Q_q^2.$$

$$R_{\mu}^{\mathrm{d,u,s}} = 3 \times \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9}\right) = 2.$$
 For 3 flavors of quarks (u, d, s)

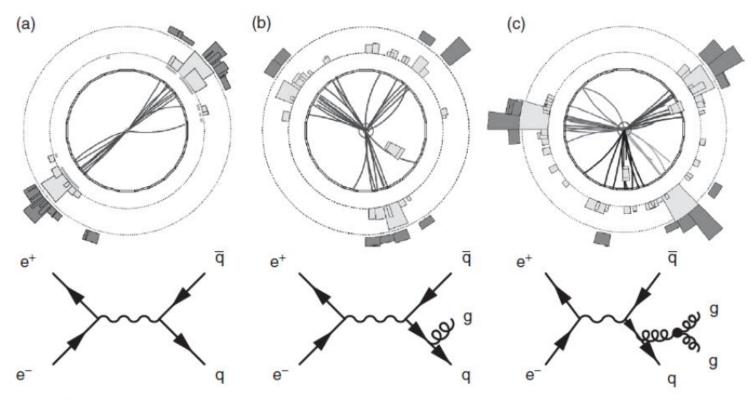


☐ Experimental result:



☐ This experiment provides another victory for the quarks model

☐ This experiment also provides the evidence of gluons existence

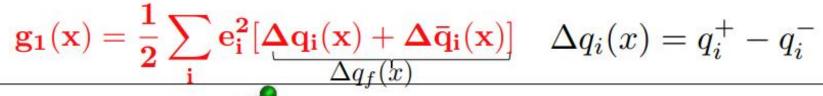


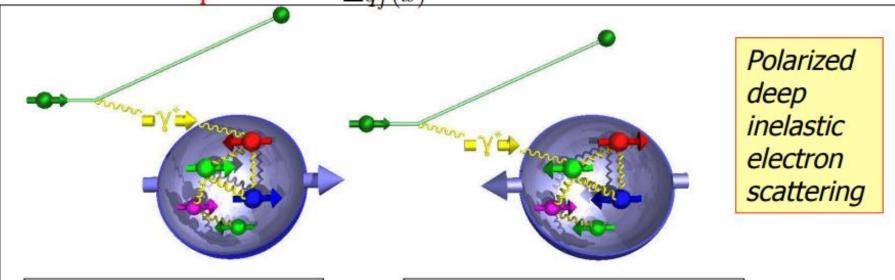
Jet production in e^+e^- annihilation. The example events were recorded at $\sqrt{s}=91$ GeV by the OPAL experiment at LEP in the mid 1990s. They correspond to (a) $e^+e^- \to q\overline{q} \to two$ -jets, (b) $e^+e^- \to q\overline{q}g \to two$ -jets and (c) $e^+e^- \to q\overline{q}g \to two$ -jets. Reproduced courtesy of the OPAL collaboration. Also shown are possible Feynman diagrams corresponding to the observed events. In the case of four-jet production there are also diagrams where both gluons are radiated from the quarks.

The existence of gluons are shown in the multiple Jet tracks detected in the spectrometer

- ☐ One of the victory of the quarks model is quark model could explain the magnetic moment of proton and neutron
- \Box By applying magnetic-moment operator to the proton wave function $\hat{\mu} = Q \frac{e}{m} \hat{S}$
- \Box We obtain the prediction of proton magnetic moment $\mu_p = \frac{4}{3}\mu_u \frac{1}{3}\mu_d$.
- $oldsymbol{\square}$ Assuming the same mass for u and d quarks, we obtain $\mu_p \approx 2.792 \mu_N$ as experimentally observed

Deep Inelastic Scattering with polarized target and beam





Parallel electron & quark spins

Anti-parallel electron & quark spins

Measure yield asymmetry:

$$A_{1} = \frac{1}{DP_{T}P_{B}} \frac{N_{\uparrow\downarrow} - N_{\uparrow\uparrow}}{N_{\uparrow\downarrow} + N_{\uparrow\uparrow}}$$

In the Quark-Parton Model:

$$A_1 \approx \frac{g_1(x)}{F_1(x)} = \frac{1}{F_1(x)} \sum_f e_f^2 \Delta q_f(x)$$

Spin-dependent Structure Function

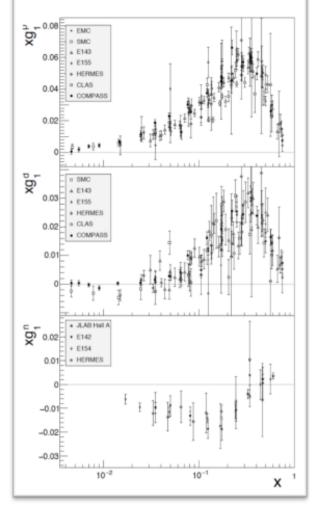
$$\Gamma_{1}^{\mathbf{p},\mathbf{n}} \equiv \int_{0}^{1} \mathbf{g_{1}^{\mathbf{p},\mathbf{n}}}(\mathbf{x_{B}}) d\mathbf{x_{B}} = \frac{1}{2} \sum_{\mathbf{f}} \mathbf{e_{f}^{2}} (\boldsymbol{\Delta} \mathbf{q_{f}^{\mathbf{p},\mathbf{n}}} + \boldsymbol{\Delta} \mathbf{\bar{q}_{f}^{\mathbf{p},\mathbf{n}}})$$

$$\Delta\Sigma \equiv (\Delta \mathbf{u}(\mathbf{x}) + \Delta \mathbf{\bar{u}}(\mathbf{x})) + (\Delta \mathbf{d}(\mathbf{x}) + \Delta \mathbf{\bar{d}}(\mathbf{x})) + (\Delta \mathbf{s}(\mathbf{x}) + \Delta \mathbf{\bar{s}}(\mathbf{x}))$$

$$\Gamma_1 \equiv \int_0^1 g_1(x_B) dx_B = rac{1}{6}F + rac{1}{18}D + rac{1}{9}\Delta \Sigma$$
 From hyperon decays

- Mesurement of Γ_1^p , Γ_1^n
- Constraint based on the hyperon beta decay lifetimes
- Assumption of SU(3) flavou symmetry
- Global fit with DGLAP Q² evolution

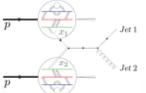




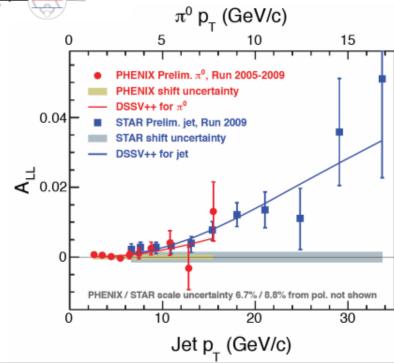
arX:1209.2803

Only small fraction of the proton spin is carried by the quarks & antiquarks!!

Gluon Helicity

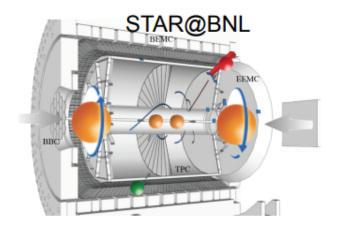


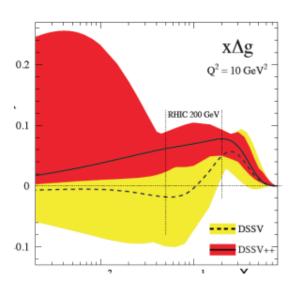
$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}} = \frac{1}{P_1 P_2} \frac{N_{++} - RN_{+-}}{N_{++} + RN_{+-}}$$



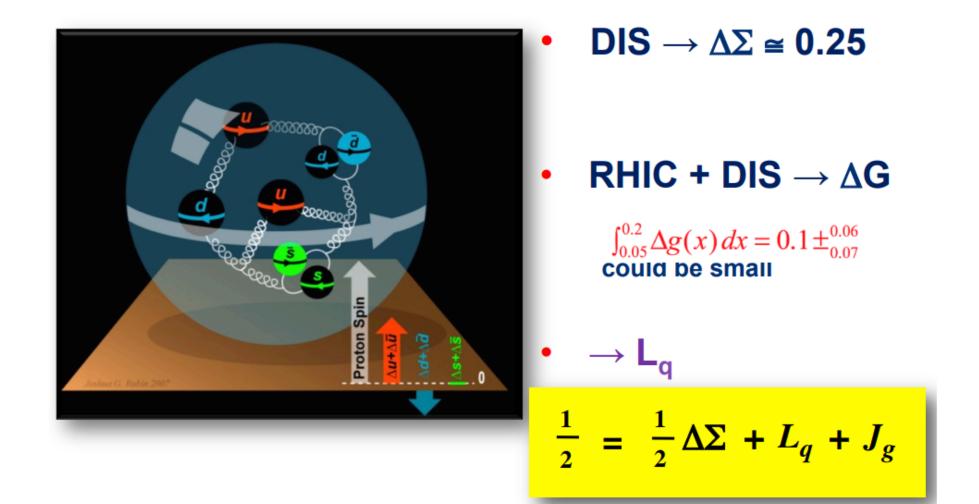
Indication of small, but non-0 Δ g from RHIC data, in particular STAR jet results

$$\int_{0.05}^{0.2} \Delta g(x) \, dx = 0.1 \pm_{0.07}^{0.06}$$





The Incomplete Nucleon: Spin Puzzle

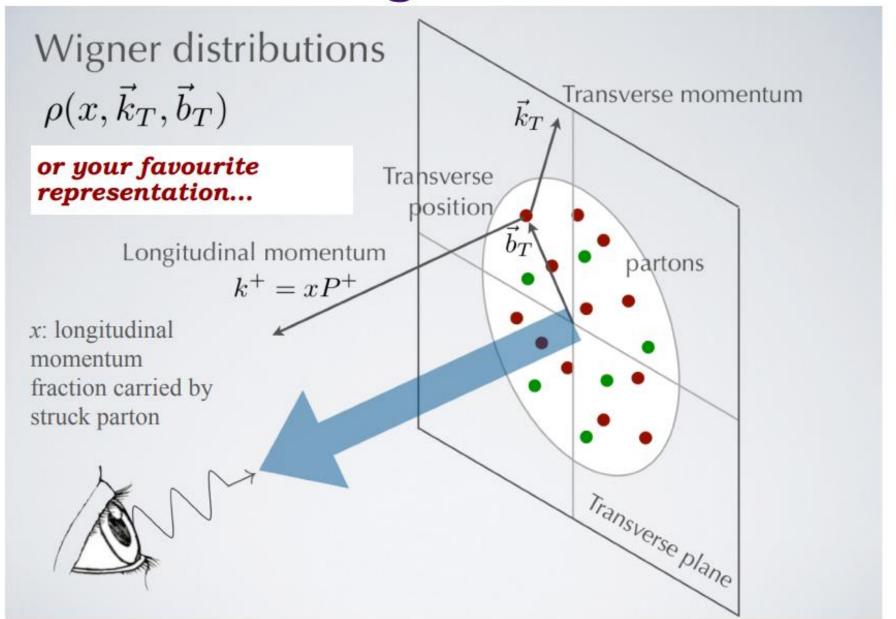


Significant proton spin might be carried by the angular momentum of the parton!!

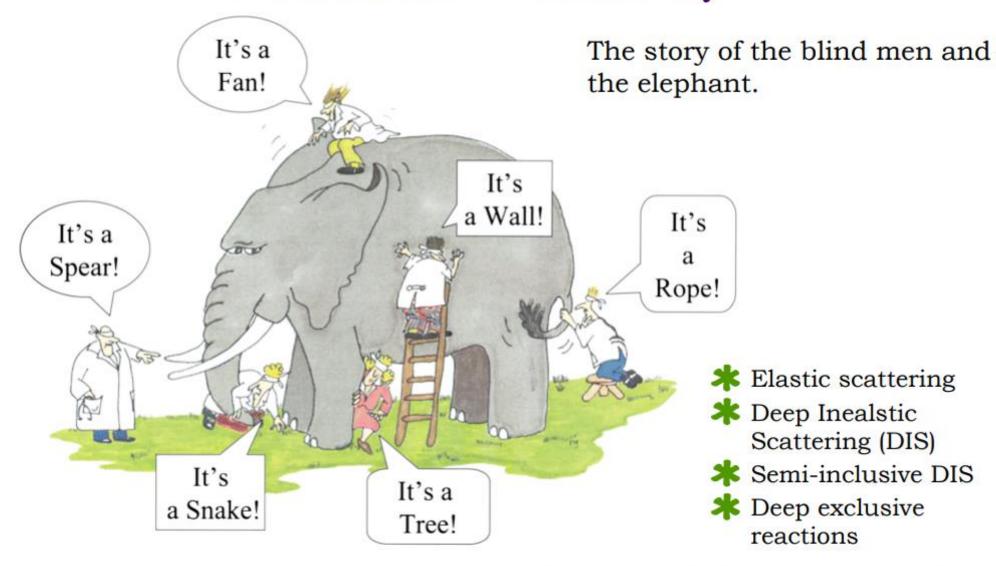
Generalized Parton Distributions (GPD) & Transverse Momentum Distributions (TMD)

-Toward Unified Picture of Nucleon Structure-

A full "knowledge" of the nucleon...



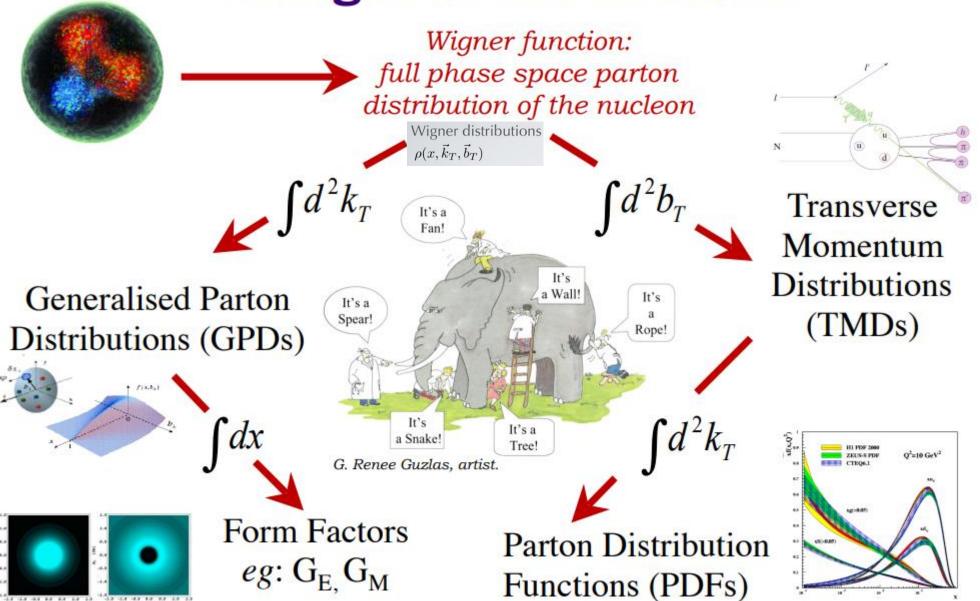
... is hard to come by



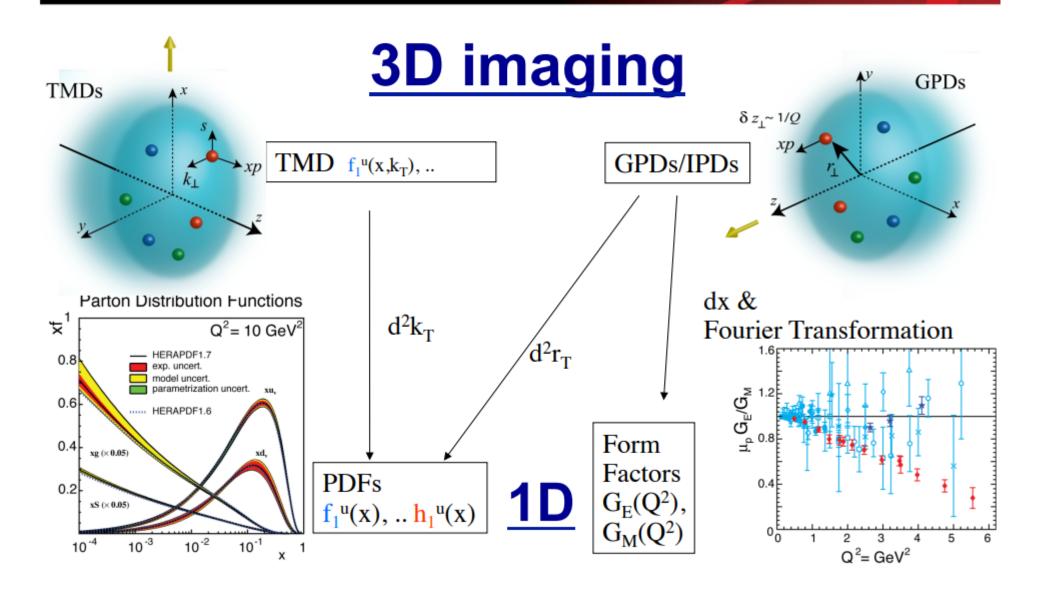
G. Renee Guzlas, artist.

What you see depends also on how you look...

Images of the nucleon



Unified View of the Nucleon Structure



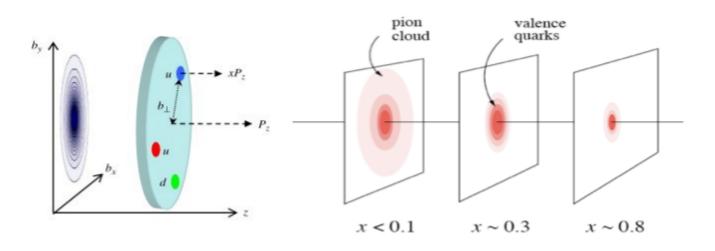
Generalised Parton Distributions (GPDs) — proposed by Müller (1994), Radyushkin, Ji (1997).

* Directly related to the matrix element of the energymomentum tensor evaluated between hadron states.

In the infinite momentum frame, can be interpreted as relating transverse position of partons (impact parameter), b_{\perp} , to their longitudinal momentum fraction (x).



Tomography: 3D image of the nucleon.



* First studies at JLab and DESY (HERMES), currently at JLab and CERN (COMPASS). A crucial part of the JLab12 programme — and, in the future, of the EIC.

 $f(x,b_1)$

Generalized Parton Distributions (GPDs) provide correlated information of the **transverse position** and the **longitudinal momentum** distributions of partons.

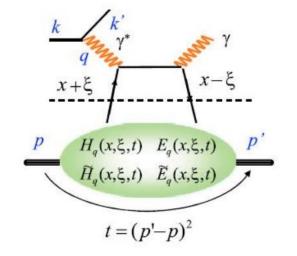
$$GPDs\left(x,\,\xi,\,t\,=\,\Lambda^2\right)$$

2 longitudinal momentum fractions

squared momentum transfer to the proton

$$t \to \Delta_T \xrightarrow{Fourier\ transform} \mathbf{b}\ (transverse\ position)$$

 $e \ p \to e' \ p' \ \gamma$

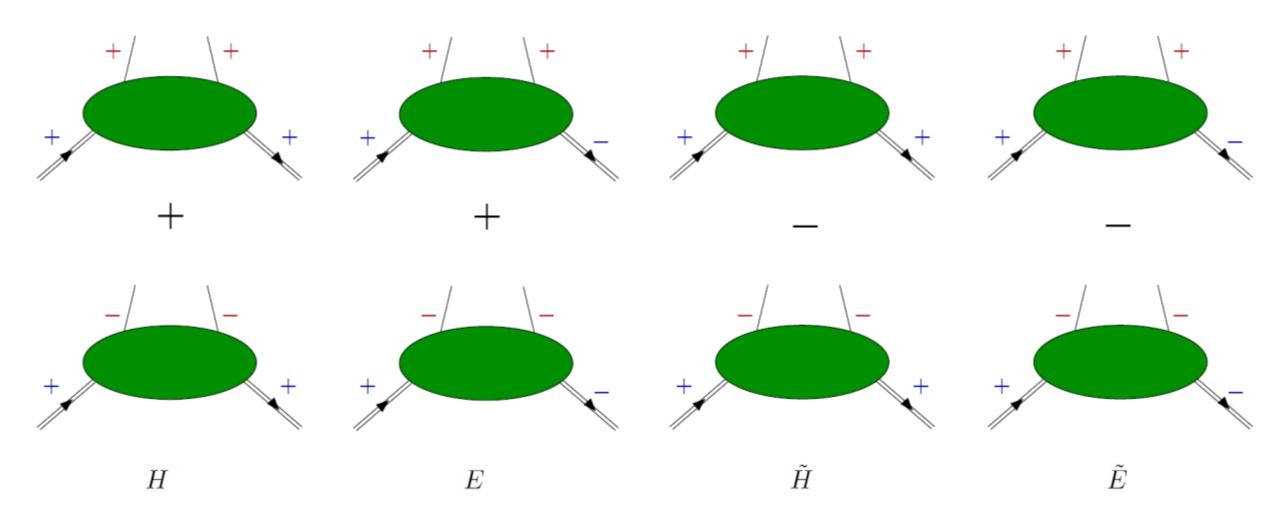


$$\gamma^* \ p \to p' \ \gamma$$

Chiral even GPDs: quark helicity is conserved

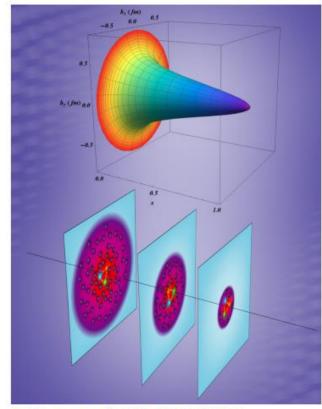
Н	E	averages over quark helicities "unpolarized"
\widetilde{H}	\widetilde{E}	differences of quark helicities "polarized"
conserve nucleon helicity	flip of the nucleon helicity	

Generalized Parton Distribution (GPDs)



Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:

Nucleon Tomography:

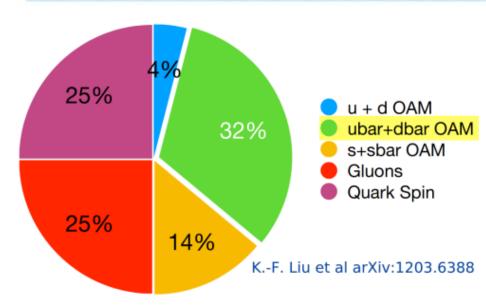


R. Dupre et al arXiv:1704.07330

Angular momentum of the partons

Ji's angular momentum sum rule

$$\int_{-1}^{+1} dx x \left\{ \frac{\mathbf{H}^{\mathbf{q}}}{(x,\xi,0)} + \frac{\mathbf{E}^{\mathbf{q}}}{(x,\xi,0)} \right\} = A(0) + B(0) = 2\mathbf{J}^{\mathbf{q}}$$



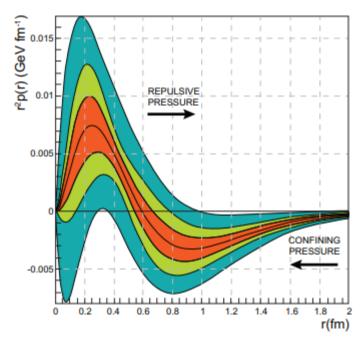
Proton spin contributions from Lattice QCD

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \underbrace{\Delta G + L_g}_{\text{Gluon total angular momentum}} + \underbrace{L_q}_{\text{Valence quarks'}} + \underbrace{L_{\bar{q}}}_{\text{OAM}}$$

OAM

Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:

Mechanical properties of the nucleons (pressure, force, ...)



Mass and force/pressure distributions

$$M_2^q(t) + \frac{4}{5}d_1(t)\xi^2 = \frac{1}{2}\int_{-1}^1 dx \, x H^q(x, \xi, t)$$

$$d_1(t) \propto \int \frac{j_0(r\sqrt{-t})}{2t} p(r) \mathrm{d}^3 r$$

Pressure distributions inside proton

without JLab 6 GeV data

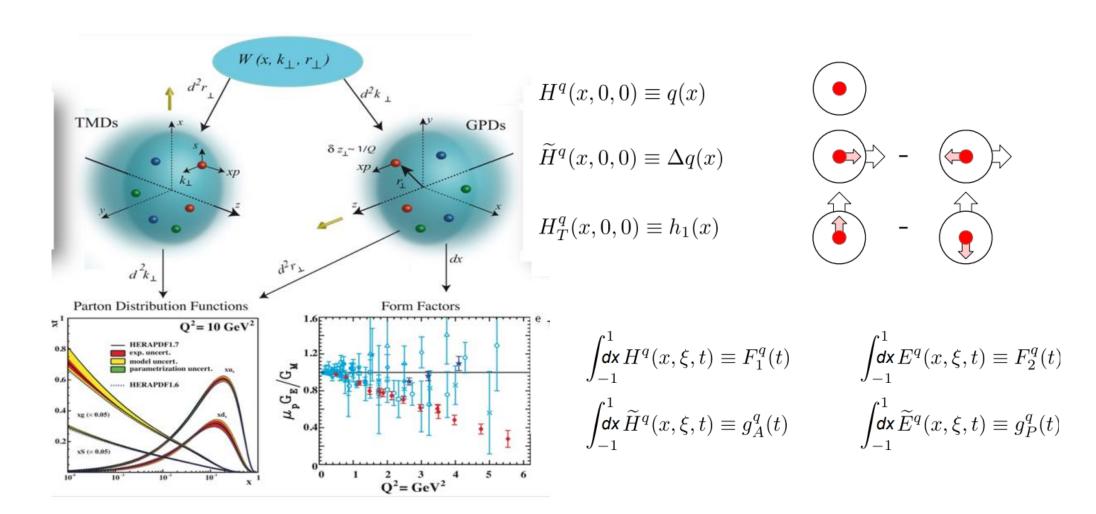
with JLab 6 GeV data

with JLab 12 GeV data (projected)

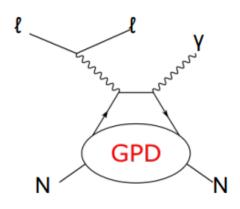
V. D. Burkert^{1*}, L. Elouadrhiri¹ & F. X. Girod¹

Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:

Access to PDFs and Elastic Forms Factors



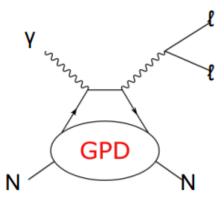
GPDs are accessible from various production channels:



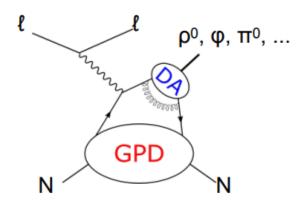
DVCSDeeply Virtual Compton
Scattering



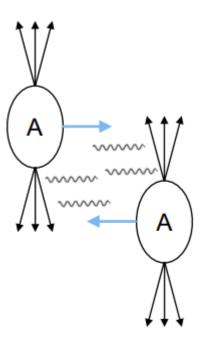
Channel of interest



TCS
Timelike Compton
Scattering

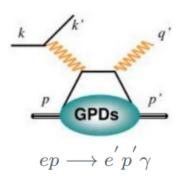


HEMP Hard Exclusive Meson Production



UPC
Ultra Peripheral
Collisions

4 chiral even GPDs can be accessed via DVCS



Twist-2 Chiral even GPDs: quark helicity is conserved

Н	E	averages over quark helicities "unpolarized"
\widetilde{H}	\widetilde{E}	differences of quark helicities "polarized"
conserve nucleon helicity	flip of the nucleon helicity	

GPDs are related to Compton-Form Factors (CFFs) via convolution:

$$\mathcal{H}(x_B, t, Q^2) = \int_{-1}^{1} dx \left[\frac{1}{\xi - x - i\epsilon} - \frac{1}{\xi + x - i\epsilon} \right] H(x, \xi, t, Q^2)$$

CFFs extractions (access directly via cross section or asymmetry measurements) is a good way to obtain GPDs

- Past: PDFs from FFs extractions
- Present: GPDs from CFFs extractions

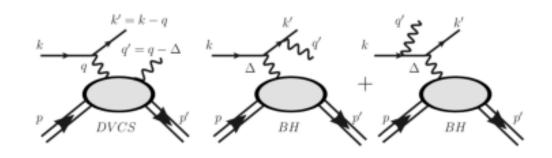
CFFs are observables that could access directly from experiments

DVCS channel

Cross sections = DVCS + Bethe-Heitler (BH)

DVCS Cross sections formulas:

- Ji (1996)
- BKM (Belitsky, Muller, Kirchner): BKM02, BKM10
- BMJ (Belitsky, Muller, Ji, 2012)
- BMMP (Braun, Manashov, Muller, Pirnay, 2014)
- VA (B. Kriesten et all,): VA 19, VA 21
- Yuxun Guo et all, 2021



$$\underbrace{\frac{d^{5}\sigma}{dx_{Bj}dQ^{2}d|t|d\phi d\phi_{S}} = \frac{\alpha^{3}x_{B}y^{2}}{16\pi^{2}Q^{4}\sqrt{1+\epsilon^{2}}} \frac{1}{e^{6}} \left[\left| \mathcal{T}^{BH} \right|^{2} + \left| \mathcal{T}^{DVCS} \right|^{2} + \mathcal{I} \right]}_{f(k,Q^{2},x_{B},t,\phi)}}$$

- Energy of the incoming electron.
- \mathbb{Z}^2 Electron squared momentum transfer: $-(k-k')^2$
- Squared momentum transfer to the proton: $(p'-p)^2$
- Bjorken variable: $x_B = \frac{Q^2}{2(pq)}$ Momentum fraction of the quark or gluon on which the photon scatters.

CFFs Model:

- VGG (Vanderhaeghen, Guichon, Guidal, 1999)
- GK (Goleskokov, Kroll, 2005)
- KM (Kumericki, Muller): KM09, KM10, KM15
- KMM12 (Kumericki, Muller, Murray, 2012)
- VA-reggeized spectator (B. Kriesten, S. Liuti, 2021)

Scope of Works

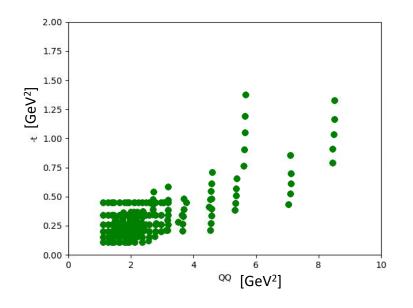
DVCS Data on Cross sections and Asymmetries:

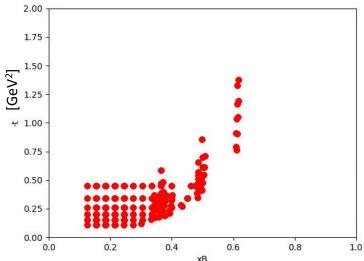
- No φ-dependence: HERMES, COMPASS, ZEUS, A1
- High statistics with φ-dependence: JLAB Hall A, CLAS (Hall B)

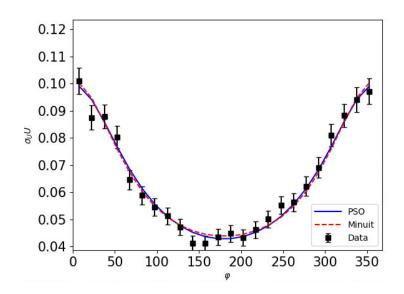
Data used in this work: All φ-dependence cross-sections

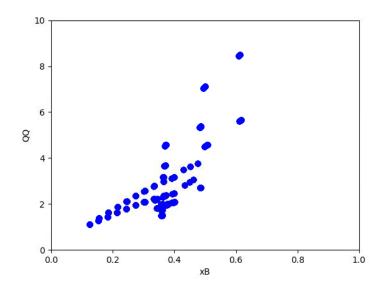
- JLAB Hall A experiment: E00-110 (2015), E07-007 (2017), E12-06-114 (2022)
- JLAB Hall B experiment: e1-DVCS1 (2015)

A total of 195 kinematic sets (3882 data points) are used in this analysis









Scope of Works

We us BKM10 Formulism at leading twist

$$\frac{d^5\sigma}{dx_{Bj}dQ^2d|t|d\phi d\phi_S} = \frac{\alpha^3x_By^2}{16\pi^2Q^4\sqrt{1+\epsilon^2}} \frac{1}{e^6} \Big[\underbrace{\left|\mathcal{T}^{BH}\right|^2}_{\text{Exact (QED)}} + \underbrace{\left|\mathcal{T}^{DVCS}\right|^2}_{\phi\text{-indep}} + \underbrace{\mathcal{I}}_{\text{3 CFFs}} \Big]$$

$$\mathcal{I}^{BMK} = \frac{e^6}{x_B y^3 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left[A_{UU}^{BKM} \left(F_1 \Re e \mathcal{H} - \frac{t}{4M^2} F_2 \Re e \mathcal{E} \right) + B_{UU}^{BKM} G_M \left(\Re e \mathcal{H} + \Re e \mathcal{E} \right) + C_{UU}^{BKM} G_M \Re e \widetilde{\mathcal{H}} \right]$$

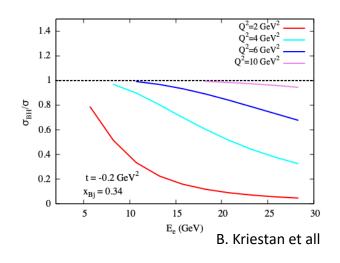
$$\left| \mathcal{T}_{DVCS} \right|^2 = \frac{e^6}{y^2 Q^2} \left\{ 2(2 - 2y - y^2) \right\} \underbrace{C_{unp}^{DVCS} (\mathcal{F}, \mathcal{F}^*)}_{\text{8 CFFs}}$$

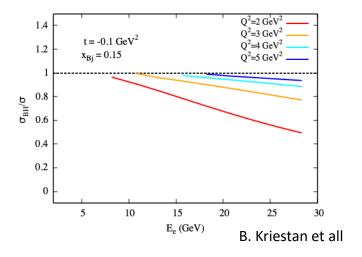
4 fit parameters:

$$\Re e\mathcal{H}, \Re e\mathcal{E}, \Re e\widetilde{\mathcal{H}},$$
 pure DVCS

Scope of Works

Challenge: Huge BH background



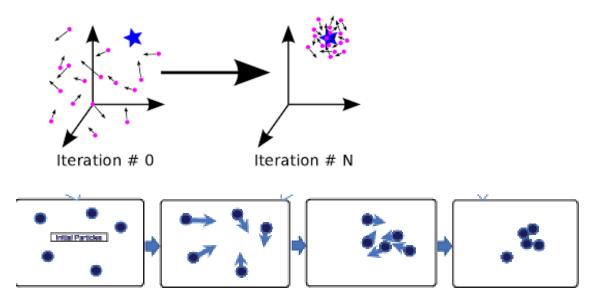


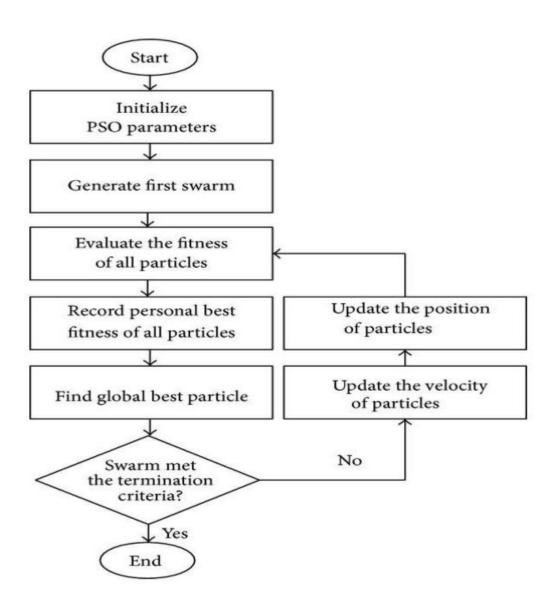
Approach:

- Local fit to obtain CFFs for each kinematic sets using Root-Minuit & Particle Swarm Optimization (PSO)
- Global Fit using Neural Network
- Test the methods to the pseudo data generated using KM15 model and smeared according to the experimental uncertainty
- The pseudo data are generated with mimicking real data kinematics (195 sets) and uncertainties

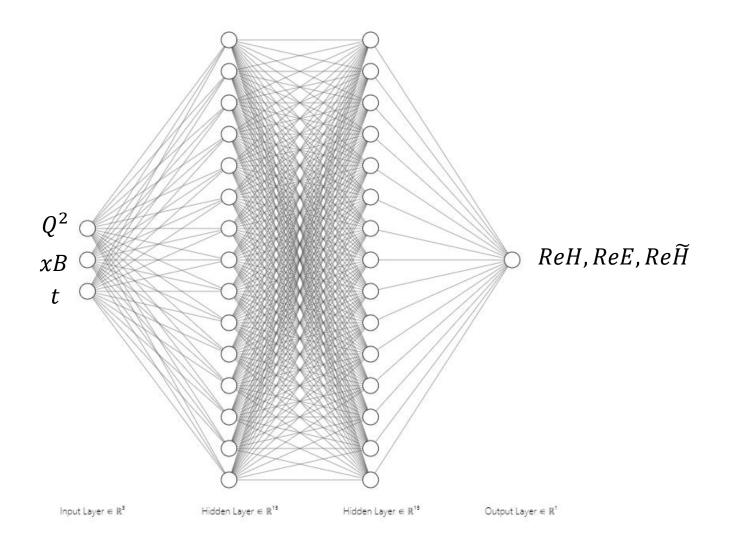
Particle Swarm Optimization (PSO)

- Inspired from the nature social behavior and dynamic movements with communications of insects, birds and fish
- Uses a number of agents (particles) that constitute a swarm moving around in the search space looking for the best solution
- Each particle in search space adjusts its "flying" according to its own flying experience as well as the flying experience of other particles
- Each particle has three parameters position (velocity, and previous best position). Particle with best fitness value is called as global best position





Neural Net Architecture

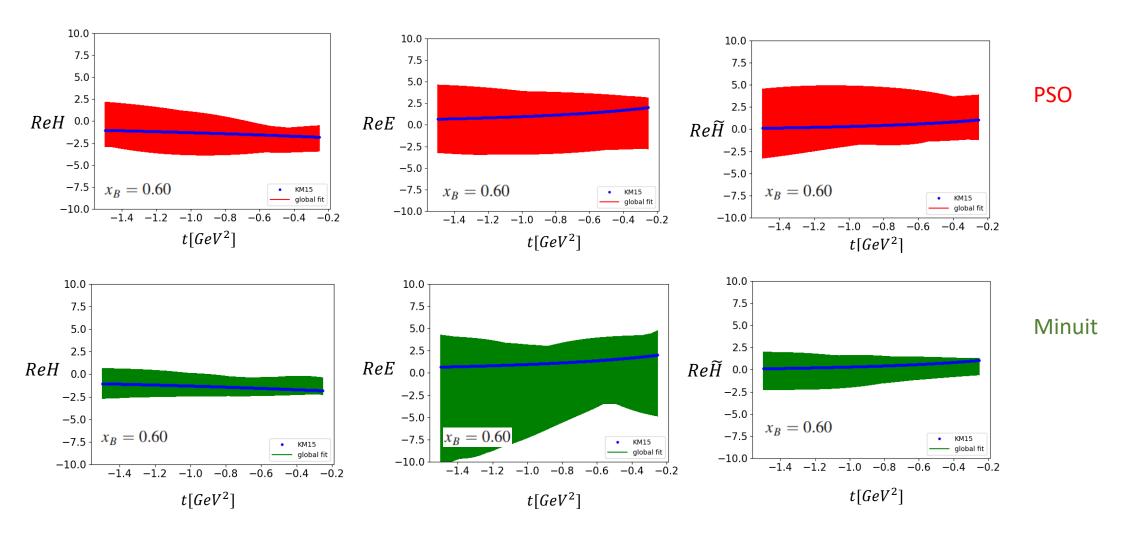


NN architecture & features:

- Each CFFs are global-fitted separately
- 3 input neurons, 1 output neuron
- 2 x 15 hidden layers
- Set splitting (training & validation)
- Multi-Step decay learning rate
- *tanh* activation function
- Adam optimizer
- Input normalization
- Early stopping on Validation set
- Replicas by smearing the CFFs according to the uncertainty from local fit
- 1000 Replicas
- MSE loss function

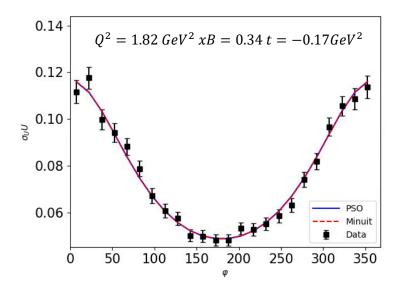
Neural network is a universal approximator which provide a model independent regression (fitting)

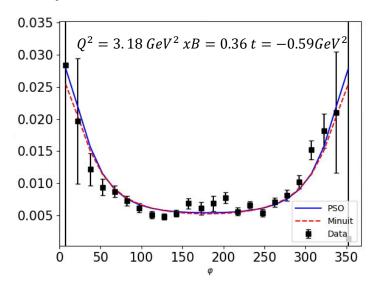
Preliminary Results: Pseudo data

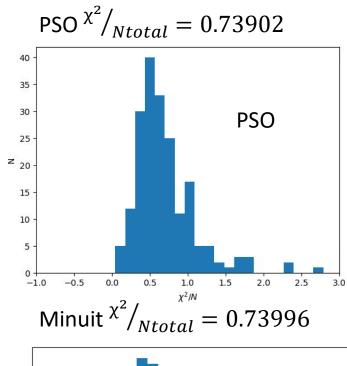


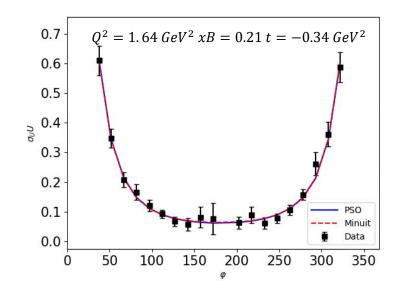
Note: Band represents all values from 1000 replicas

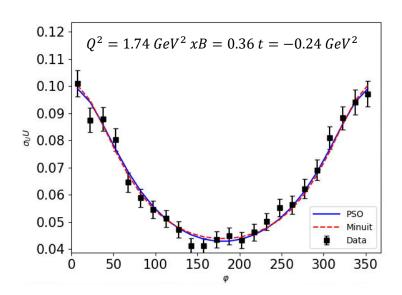
Preliminary Results: Local Fit on Experimental Data

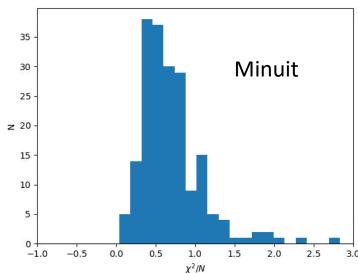




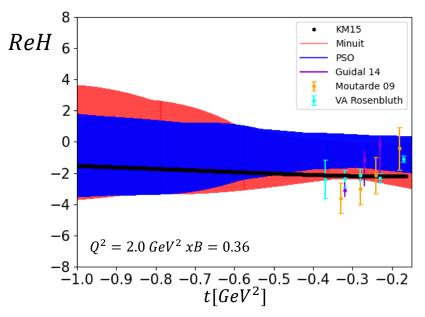


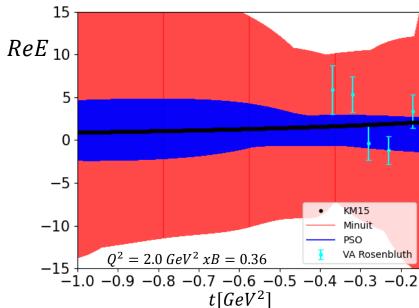


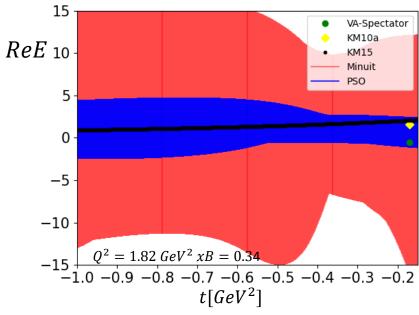


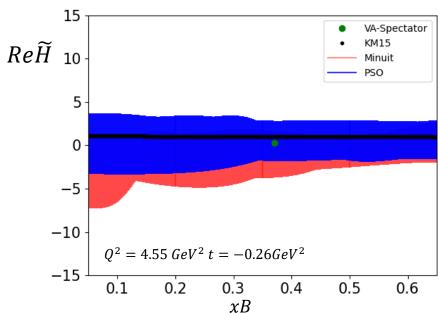


Preliminary Results: Global Fit on Data





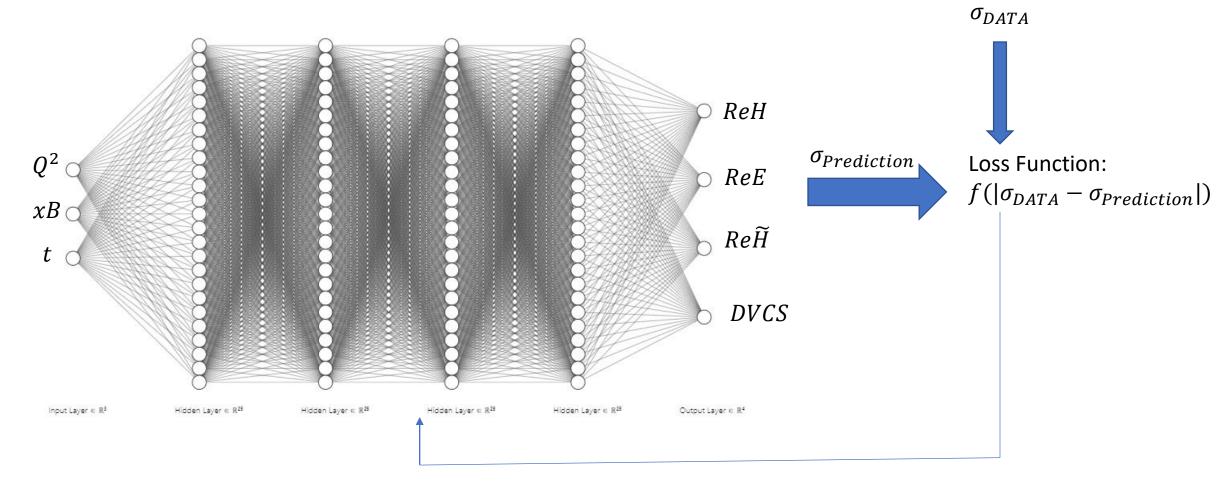




Note:

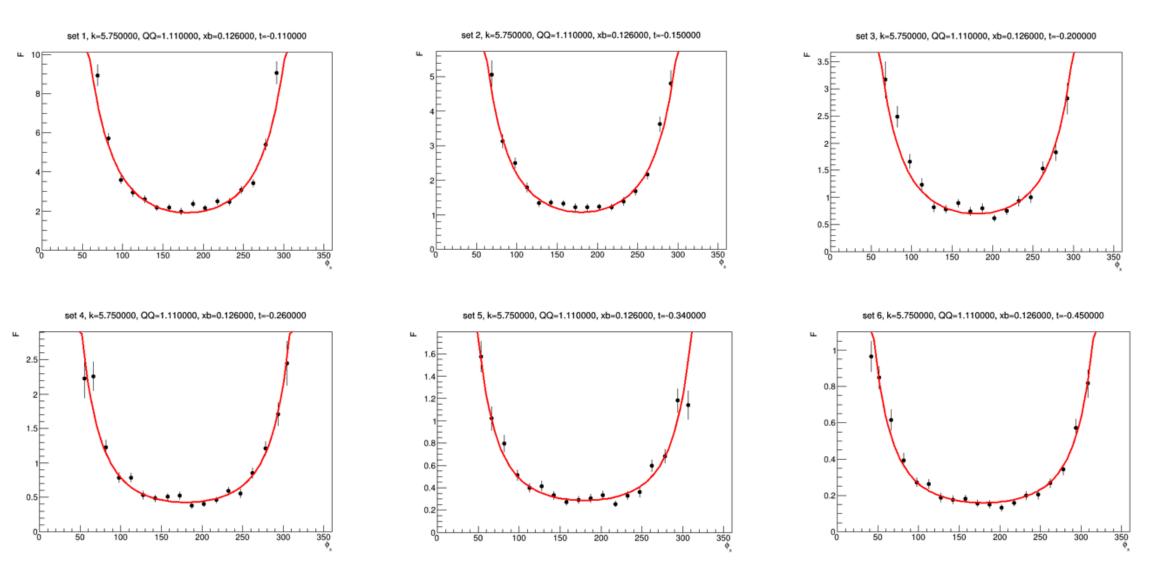
- Band represents all values from 1000 replicas
- Data points for comparison were extracted from B.Kriesten et all

Deep Neural Net for local & global fit (different architecture)



Back propagation

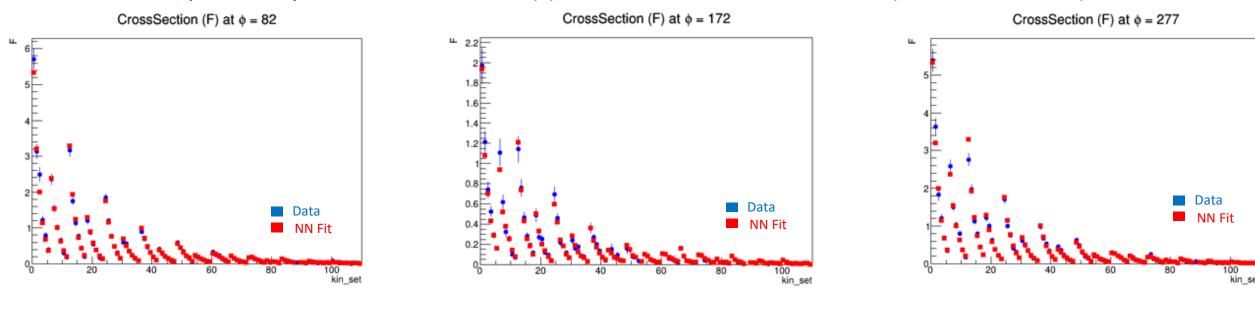
• Deep Neural Net for local & global fit (different architecture): preliminary results



Outlook

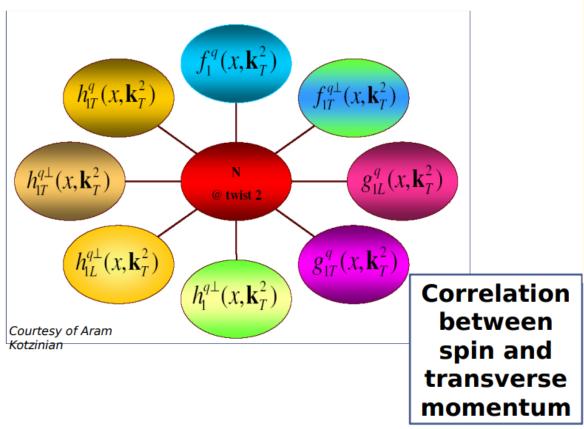
• Deep Neural Net for local & global fit (different architecture): preliminary results

The comparison of predicted cross sections (F) and real data from CLAS e1-DVCS (110 kinematic sets)



- Include more data (asymmetry) from JLAB and other experiments
- Higher twist
- Systematics study by generating pseudo data from various models &formulism and study the differences between fitted and truth values

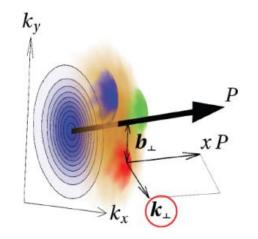
TMD distribution functions



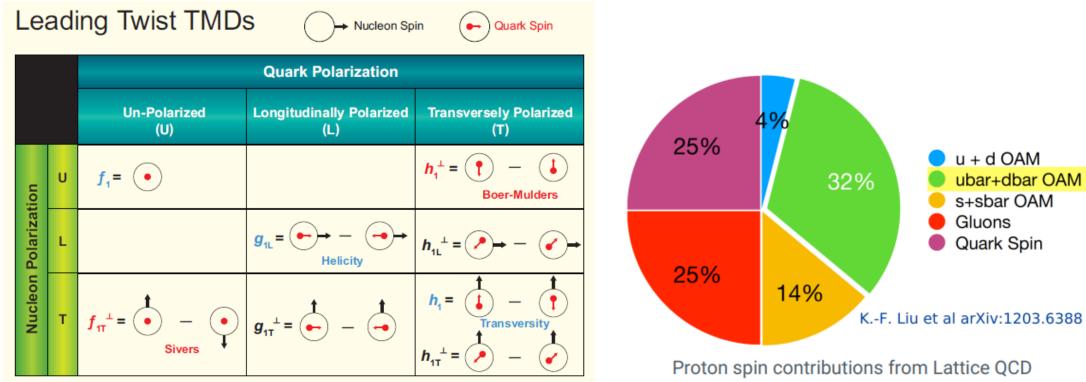
Leading IWIST IIVIDS Aucleon Spin Quark Spin						
		Quark Polarization				
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)		
tion	U	f ₁ = •		$h_1^{\perp} = $		
Polarization	L		$g_{1L} = \longrightarrow - \longrightarrow$ Helicity	h _{1L} [⊥] =		
Nucleon	т	$f_{1T}^{\perp} = \underbrace{\bullet}_{\text{Sivers}} - \underbrace{\bullet}_{\text{Sivers}}$	$g_{1T}^{\perp} = \begin{array}{c} \uparrow \\ \bullet \end{array}$	$h_1 = $		

Loading Twict TMDc

Transverse Momentum Distributions (TMDs) of partons describe the distribution of quarks and gluons in a nucleon with respect to \mathbf{x} and the intrinsic transverse momentum \mathbf{k}_{T} carried by the quarks



Sivers Function to probe orbital-angular momentum of the partons

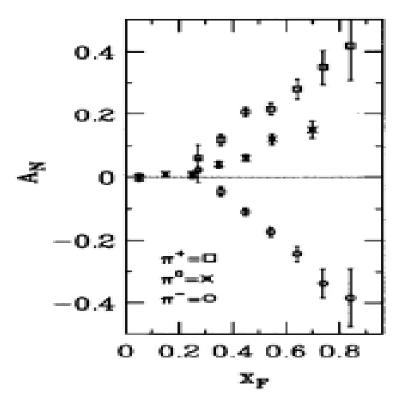




<u>Sivers function</u> describes the distribution of unpolarized quarks inside a transversely polarized nucleon, through a correlation between the quark transverse momentum and the nucleon transverse spin.

Transverse Momentum Distribution (TMD) & Sivers Function

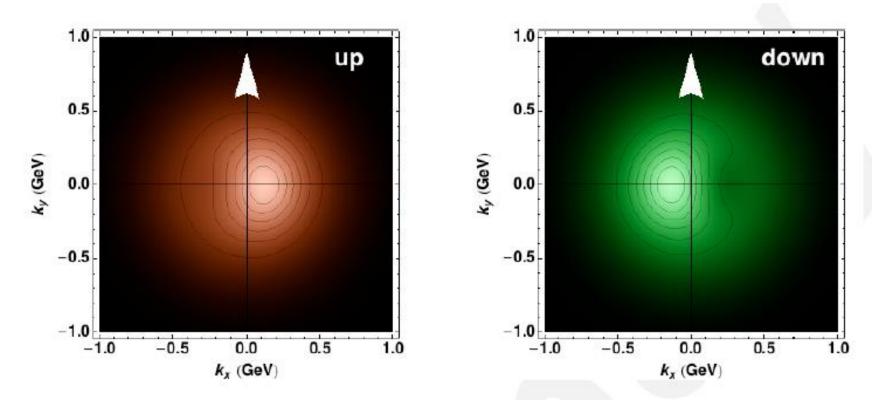
<u>Sivers function</u> initially was formulated to explain large left-right asymmetry in the pion production from pp scattering



Pion asymmetry observed in $pp^{\uparrow} \rightarrow \pi X$ from E704 Experiment

Transverse Momentum Distribution (TMD) & Sivers Function

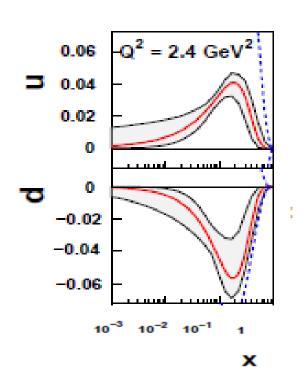
Supposed the proton is moving toward us and its spin is pointing upward. it turns out that we see up quarks moving preferentially to the right and down quarks to the left

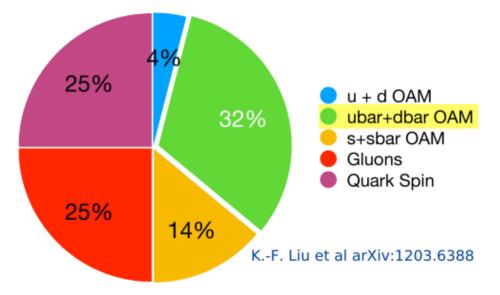


The up and down quark density distortion in transverse-momentum space, obtained by studies of the Sivers function

Why the Sea quarks are important?

HERMES, COMPASS and Jlab have measured nonzero values of the Sivers function of the nucleon, with the data indicating that the valence d-quark and u-quark Sivers functions are approximately equal and opposite in sign (zero contribution to the overall nucleon spin)





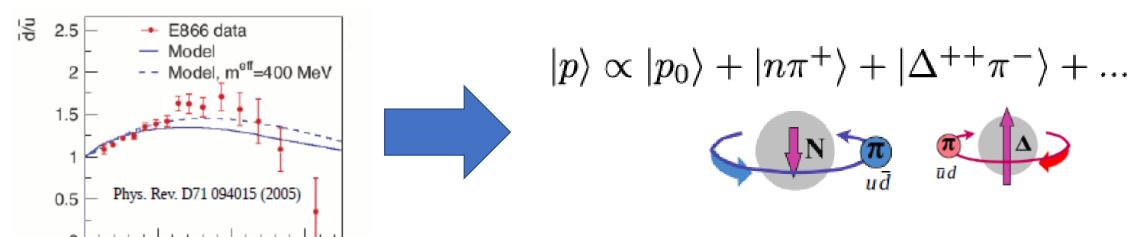
Proton spin contributions from Lattice QCD

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \underbrace{\Delta G + L_g}_{\text{Gluon total angular momentum}} + \underbrace{L_q}_{\text{Valence quarks'}} + \underbrace{L_{\bar{q}}}_{\text{OAM}}$$

The Sivers distribution for u and d quarks flavors.

Why the Sea quarks are important?

The E866 Experiment at FermiLab shows the asymmetry between \bar{d}/\bar{u} . E866 results might point to Sea quarks OAM according to the pion-cloud model.



The distribution ratio of \bar{d}/\bar{u}

0.1

0.2

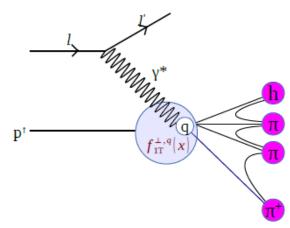
0.3

To conserve parity, pion in $N\pi$ system should have the orbital angular momentum. Therefore, the \bar{d} excess in the nucleon should have nonzero OAM.

How to probe sea quark's sivers function

$$e + p^{\uparrow} \rightarrow e' \pi X$$

1 Polarized Semi-Inclusive DIS

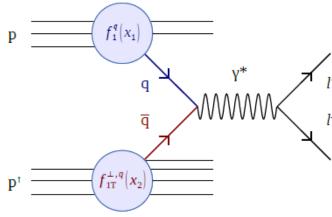


$$A_{UT}^{SIDIS} \propto \frac{\sum_{q} e_{q}^{2} f_{1T}^{\perp,q}(x) \otimes D_{1}^{q}(z)}{\sum_{q} e_{q}^{2} f_{1}^{q}(x) \otimes D_{1}^{q}(z)}$$

- L-R asymmetry in hadron production
- quark to hadron fragmentation function
- valence-sea quark: mixed

$$p+p^{\uparrow} \rightarrow \mu^{+}\mu^{-}X$$

Polarized Drell-Yan



$$A_N^{DY} \propto \frac{\sum_q e_q^2 \left[f_1^q(x_1) \cdot f_{1T}^{\perp,\bar{q}}(x_2) + 1 \leftrightarrow 2 \right]}{\sum_q e_q^2 \left[f_1^q(x_1) \cdot f_1^{\bar{q}}(x_2) + 1 \leftrightarrow 2 \right]}$$

- L-R asymmetry in Drell-Yan production
- no fragmentation function
- valence-sea quark: isolated

- has not been tried yet
 - only experiment sensitive to sea quarks at large x

sea	poor sea sensitivity	
valence	valence known	
quark	SIDIS	Drell-Yan

selects sea quark from target

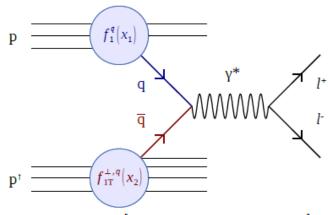
$$\blacksquare \frac{d^2 \sigma}{dx_b dx_t} = \frac{4\pi\alpha^2}{9x_b x_t} \frac{1}{s} \sum_i e_i^2$$

$$\times \{ q_i(x_b) \bar{q}_i(x_t) + \bar{q}_i(x_b) q_i(x_t) \}$$

- for E1039 kinematic configuration first term dominates
- measure Sivers asymmetry for both
 - $\bullet \bar{u}(x), \bar{d}(x)$
 - determine possible flavor asymmetry

$$p + p^{\uparrow} \rightarrow \mu^{+}\mu^{-}X$$

2 Polarized Drell-Yan



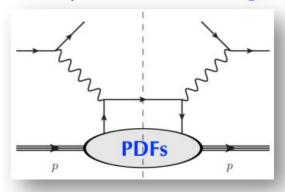
$$A_{N}^{DY} \propto \frac{\sum_{q} e_{q}^{2} \left[f_{1}^{q}(x_{1}) \cdot f_{1T}^{\perp,\bar{q}}(x_{2}) + 1 \leftarrow 2 \right]}{\sum_{q} e_{q}^{2} \left[f_{1}^{q}(x_{1}) \cdot f_{1}^{\bar{q}}(x_{2}) + 1 \leftarrow 2 \right]}$$

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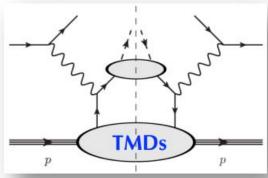
E1039 EXPERIMENT

Goal: understanding the partonic structure of the nucleon

Deep Inelastic Scattering



Semi-Inclusive
Deep Inelastic Scattering

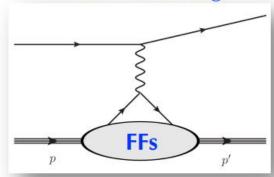


Momentum Space

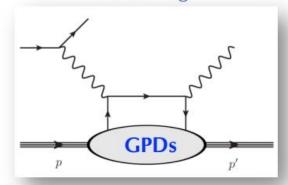


Complete Proton Tomography in 3+2 D from phase-space distributions GTMDs ← Wigner distr.

Elastic Scattering



Deeply Virtual Compton Scattering



Transverse Coordinate Space

A brief summary:

- Rutherford scattering provide the existence of proton
- Stern measurement on the proton magnetic moment indicated that proton is not a point-like particle
- Elastic-scattering experiment probed the finite size of proton which quantified in Form-Factor observable
- Deep-Inelastic Scattering (DIS) provided evidence of the point-like nature of nucleon's constituents (partons)
- Polarized DIS experiment by EMC collaboration showed that quark spin provide small contribution to the total spin
 of nucleon
- The missing contribution likely from orbital-angular momentum of sea quarks as indicated by lattice calculation
- DIS experiment provide longitudinal momentum distribution of the partons (PDF)
- Additional information on the transverse position of partons is provided by GPD which can be accessed via Deeply Virtual Compton Scattering experiment (DVCS)
- GPD also provide information on the spin contribution and mechanical properties of the nucleon
- Additional information on the transverse momentum of partons is provided by TMD which can be accessed via Semi Inclusive Deep Inelastic Scattering experiment (SIDIS) and Drell-Yan experiment
- Sivers function, one of the TMDs provide orbital-angular momentum information of the partons
- The future SpinQuest (E1039) experiment at Fermilab will probe the orbital angular momentum of the SeaQuarks

Polarized-Target Technology

- A necessary recipe to explore the internal structure of the nucleon-

Nucleon at different scales

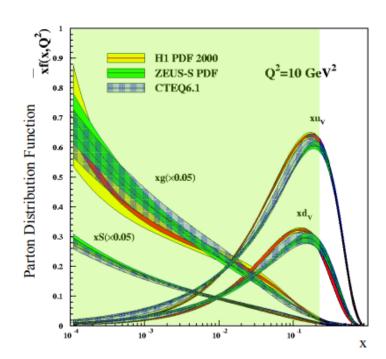


Valence quarks

Jefferson Lab: fixed-target electron scattering



$$0.1 < x_B < 0.7$$



Sea quarks



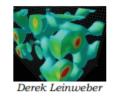
HERMES: fixed gas-target electron/positron scattering

$$0.02 < x_B < 0.3$$



COMPASS: fixed-target muon scattering $0.01 < x_B < 0.1$

The glue



ZEUS/H1: electron/ positron-proton collider

$$10^{-4} < x_B < 0.02$$



EIC: $10^{-4} < x_B < 0.2$

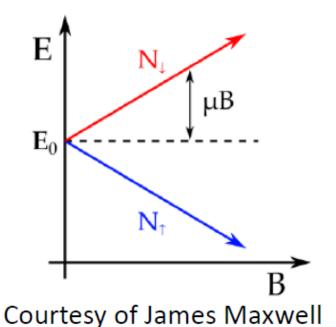
Luminosity 100 - 1000 times that of HERA

A complete picture on Nucleon require many experiments probing the whole kinematic range and all configuration of the beam/target polarization

How do we obtain significant nucleon polarization?

Brute-Force Method:

 Use high-B at low-T via Zeeman-splitting mechanism



 Degree of polarization at thermal equilibrium

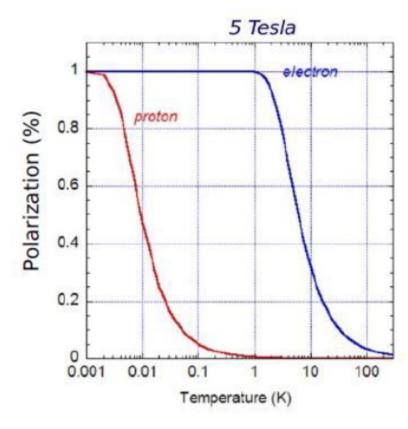
$$P = tanh\left(\frac{\mu B}{kT}\right)$$

 Proton has small magnetic moment

$$\mu_e \approx 660 \mu_p$$

• At B = 5 Tesla & T = 1 K $P_e = \sim 98\%, P_p = 0.51\%$

· We need a better method!



How do we obtain significant nucleon polarization?

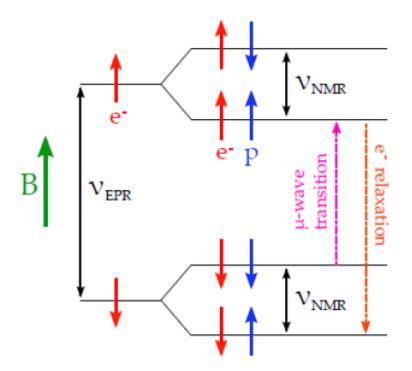
Dynamic-Nuclear Polarization (DNP):

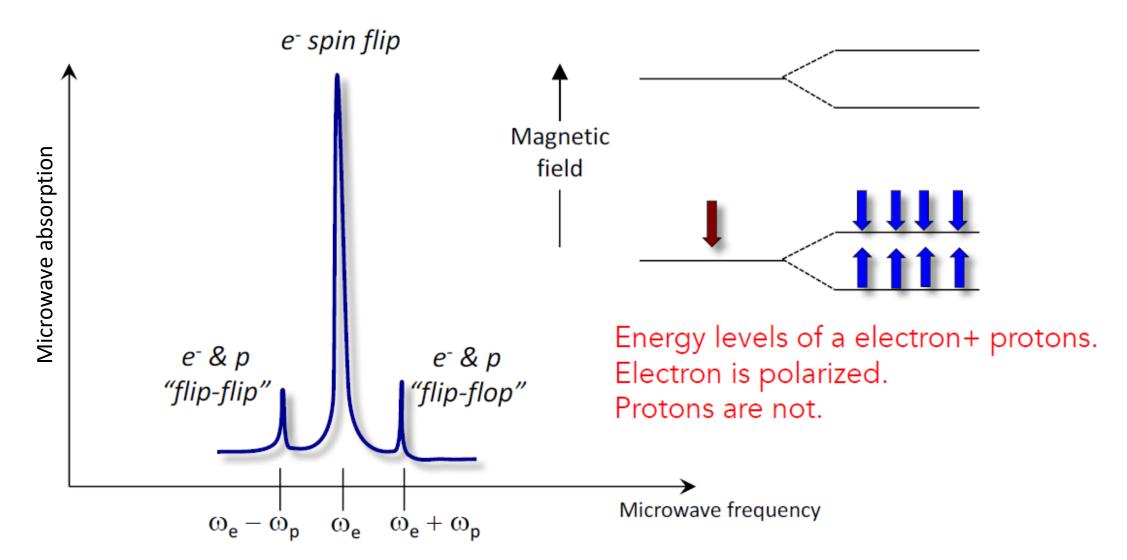
 The coupling between (unpaired) electron & proton introduces hyperfine splitting H_{SS}

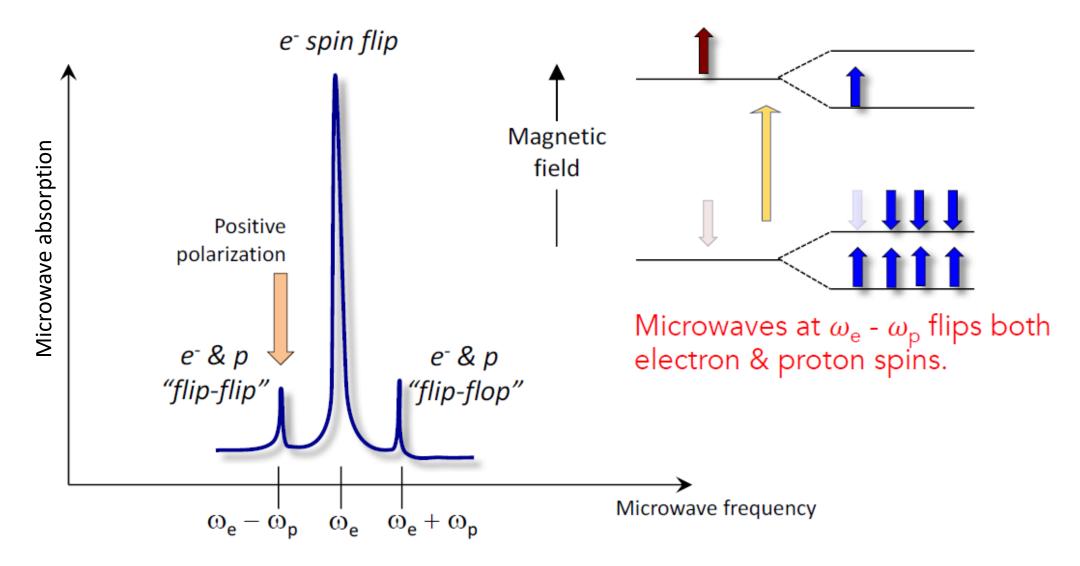
$$H = -\mu_e B - \mu_p B + H_{SS}$$

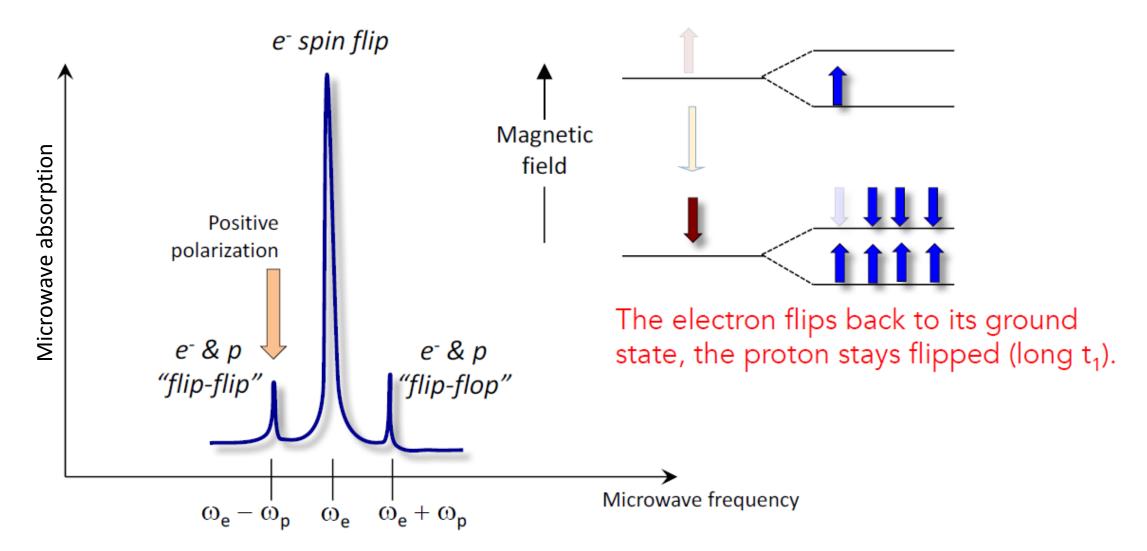
 Applying an RF-field at the correct frequency, we can drive the nucleons state into desired proton-state

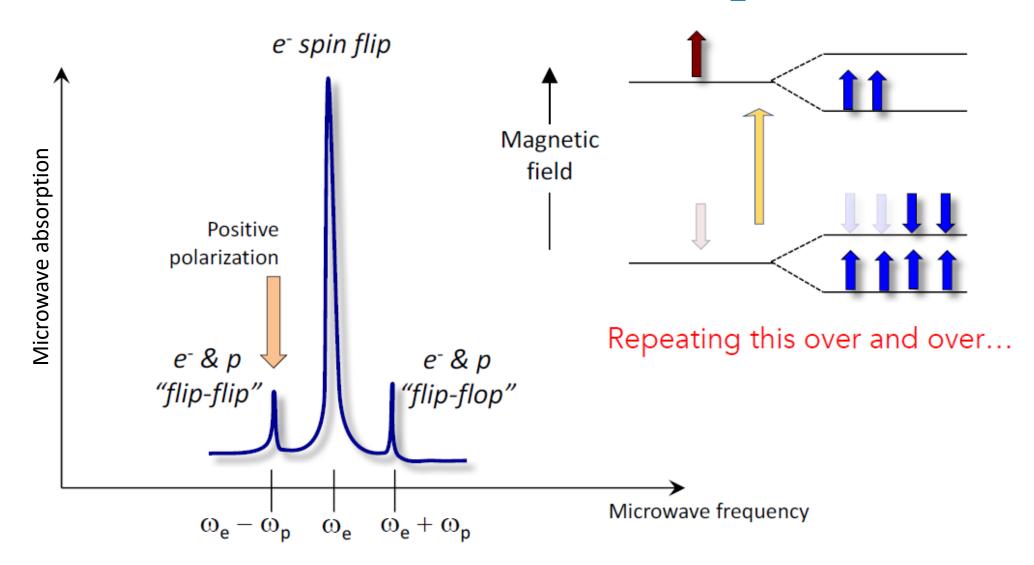
- The disparity in relaxation times between the electron (ms) and proton (tens of minutes) at 1K is crucial to continue proton polarization
- Allow to achieve proton polarization of > 90%
- During a cooldown at UVA, we achieve 95% of proton polarization

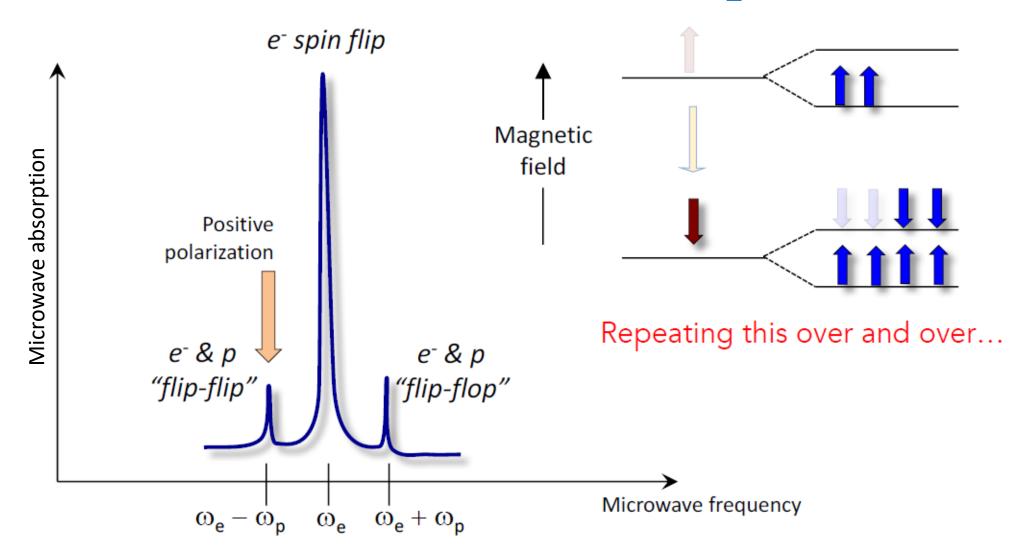


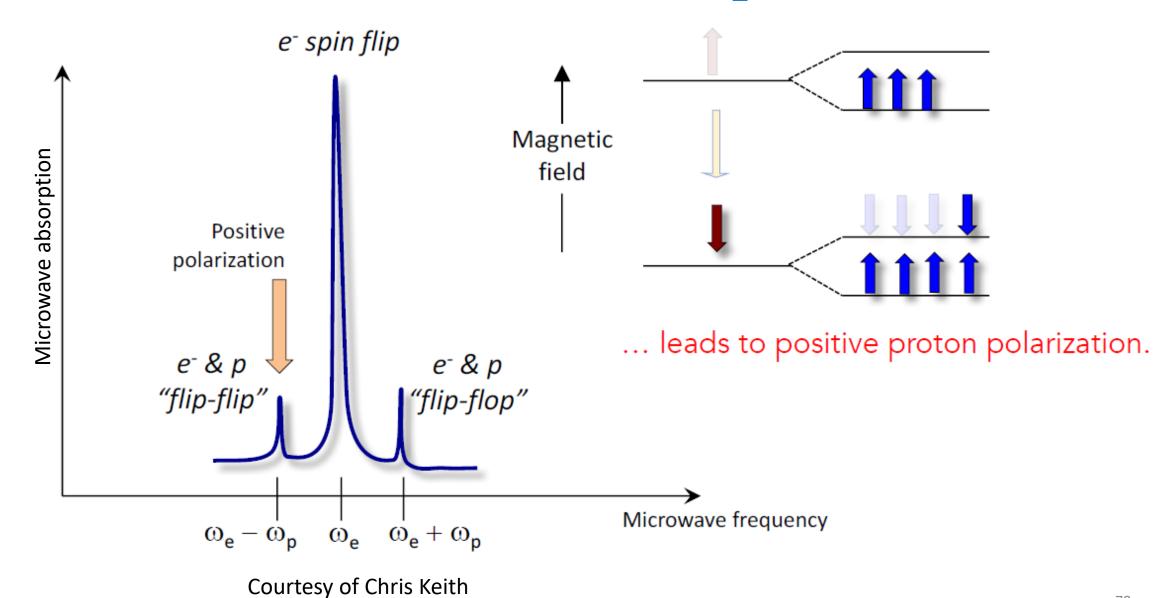












Recipes for High-Nucleon Polarization:

- ☐ Continuous microwaves generator
- ☐ Target material with a suitable number of unpaired electrons, resistance to radiation and reasonable dilution factor
- □ Superconducting magnet with homogenous fields in the target region
- □Cryogenics system with high cooling power
- ☐ Reliable Nuclear-Magnetic Resonance (NMR) system for polarization measurement

Continues-Microwave Generator

- 140 GHz RF signal is generated by Extended-Interaction Oscillator (EIO) through interaction between electron beam (produced from ~kV of cathode/anode) and resonant cavities
- The optimal frequency changes as we flip the spin direction
- The optimal frequency also changes as the target accumulate radiation damage from the beam.
- Therefore, the frequency is adjusted by adjusting the cavity size using a stepper motor (~2% adjustment)



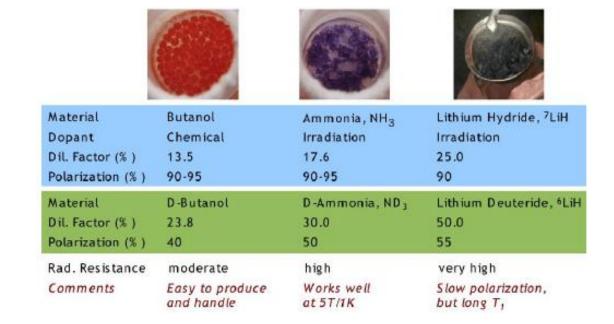
Target-Materials

Target material for DNP characterized by

- Maximum achievable polarization
- Dilution factor
- Resistance to radiation damage

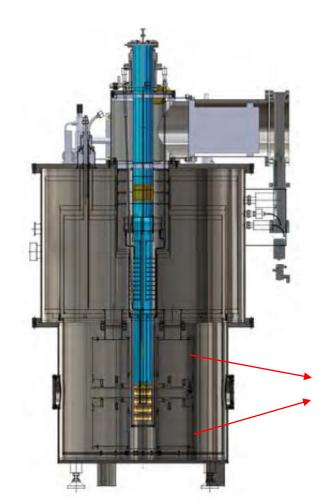
SpinQuest experiment will use 8 cm of solid NH₃/ND₃ as target materials which are doped with paramagnetic free-radical by being irradiated at NIST (National Institute of Standard and Technology)

The polarization decays over time due to the radiation damage and restored temporarily by annealing process (target is heated at 70-100 K).



Superconducting Magnet

- The superconducting magnet coils provide 5 T of transverse field in the target area with the homogeneity level of 10^{-4} over the target region
- The magnet consist of NbTi coils which are impregnated in epoxy to prevent them from moving during when the magnet is energized
- The coils are held in place by 316 stainless steel



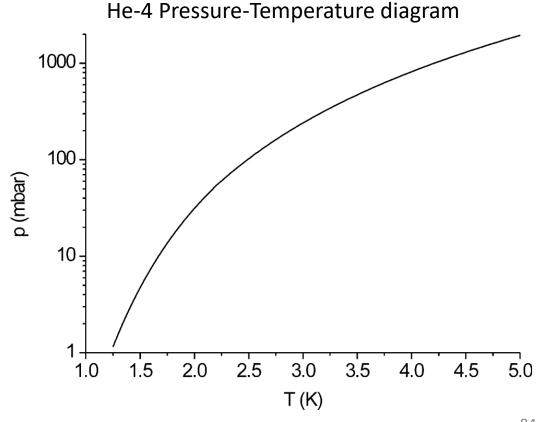
Superconducting Magnet

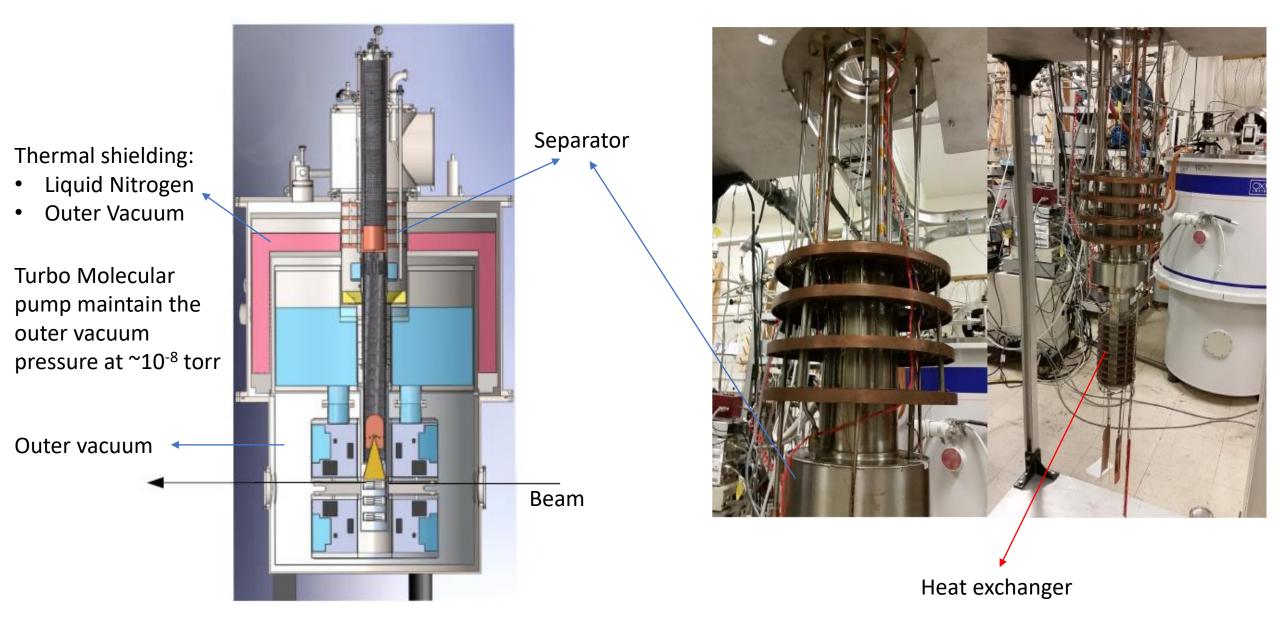
Cryogenic System

Evaporated He from the target nose need to be pumped out by high powered pump to keep the temperature at 1 K at 0.12 Torr

Critical components for high-cooling power refrigerator:

- High-power pump
- Sufficient supply of the liquid Helium
- Heat exchanger that bring the He temperature down from 4.2 K to 1 K
- Thermal shielding



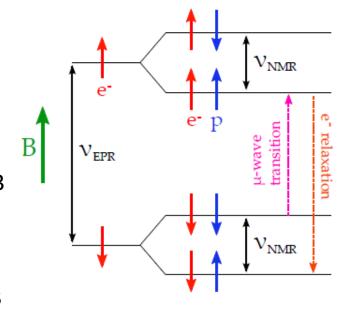


Nuclear-Magnetic Resonances (NMR)

Polarization of the proton is measured using NMR technique

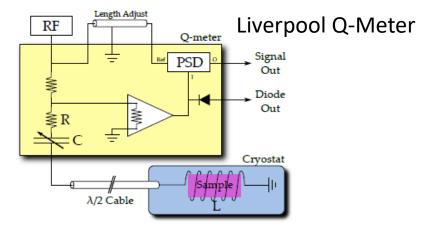
An RF field at the Larmor frequency of the proton (213 MHz at 5 T) can cause a flip of the spin

The RF field is produced by 3 NMR coils inside the target cup



An RLC Circuit is tuned to the Larmor frequency of the target materials

The power generated or absorbed due to spin flip change the circuit impedance that can be observed



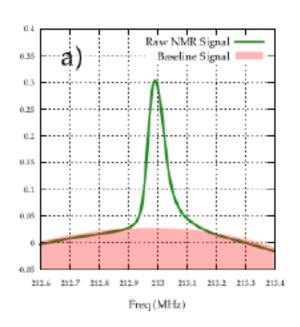
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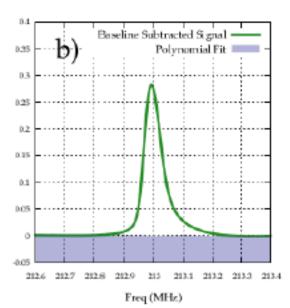
Q-Curve is produced by sweeping the RF around the Larmor frequency

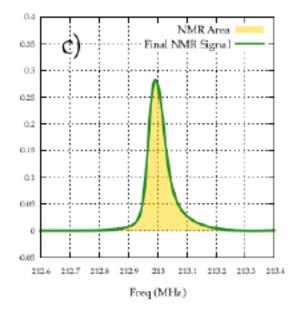
The signal area after background subtraction is proportional to the polarization

The proportional constant is obtained at Thermal-Equilibrium measurement

$$P = tanh\left(\frac{\mu B}{kT}\right)$$







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- The future SpinQuest (E1039) experiment at Fermilab will probe the orbital angular momentum of the SeaQuarks
- Artificial-Neural Network provides model independent and reliable tools for regression (fitting)
- To obtain high degree of nucleon polarization via Dynamic-Nuclear Polarization (DNP) we need continuous
 microwave generator, target material with unpaired electron (free radical), cryogenic system, superconducting
 magnet and Nuclear-Magnetic Resonances

THANK YOU